

**EPSB Mission Statement:**

*The Education Professional Standards Board, in full collaboration and cooperation with its education partners, promotes high levels of student achievement by establishing and enforcing rigorous professional standards for preparation, certification, and responsible and ethical behavior of all professional educators in Kentucky.*

**EPSB Meeting Agenda**

**EPSB Offices**

**100 Airport Road, 3<sup>rd</sup> Floor, Conference Room A, Frankfort, KY 40601  
October 28, 2013**

**Monday, October 28, 2013**

**9:00 AM EDT      Call to Order**

**Roll Call**

**Open Speak**

**Approval of Consent Items**

- A. Approval of September 16, 2013 EPSB Minutes (**Pages 1-20**)
- B. 2012 Title II Report (Ms. Donna Brockman) (**Pages 21-22**)
- C. Approval of Contract (Mr. Jimmy Adams) (**Pages 23-24**)

**Report of the Executive Director**

- A. Report from the Kentucky Department of Education
- B. Report from the Council on Postsecondary Education

**Report of the Chair**

**Committee Report**

Kentucky Advisory Council on Internships Committee

Literacy Preparation Advisory Committee

**Information/Discussion Items**

- A. Awarded Contracts (Mr. Jimmy Adams) (**Pages 25-26**)
- B. Implementation of the Recommendations of the Committee to Ensure an Ethical Educator Workforce (Ms. Alicia Sneed) (**Pages 27-30**)

**Action Items**

- A. 16 KAR 6:010. Examination Prerequisites for Teacher Certification, Final Action (Ms. Brockman) (**Pages 31-54**)
- B. 16 KAR 5:020. Standards for Admission to Teacher Preparation, Final Action (Dr. Kim Walters-Parker) (**Pages 55-68**)
- C. 2014 Legislative Agenda (Ms. Alicia Sneed) (**Pages 69-72**)
- D. EPSB Fiscal Year 2015-2016 Biennial Budget Request (Mr. Gary Freeland, Mr. Adams) (**Pages 73-74**)

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E. CCSSO Contract (Mr. Jimmy Adams) (**Pages 75-76**)

### **Alternative Route to Certification Application**

Brigetta Allen, Biology Grades 8-12 (Ms. Cindy Godsey)  
(**Page 77-79**)

### **Board Comments**

*Following a motion in open session, it is anticipated that the board will move into closed session as provided by KRS 61.810 (1) (c) and (1) (j).*

### **Certification Review and Revocation: Pending Litigation Review**

*Following review of pending litigation, the board shall move into open session. All decisions will be made in open session.*

### **Adjournment**

Next Regular Meeting:  
January 6, 2014  
EPSB Offices

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*The actions delineated below were taken in open session of the EPSB at the September 16, 2013, meeting. This information is provided in summary form; an official record of the meeting is available in the permanent records of the Education Professional Standards Board (EPSB), 100 Airport Road, 3<sup>rd</sup> Floor, Frankfort, KY 40601*

**Education Professional Standards Board (EPSB)  
Summary Minutes of the Regular Business Meeting  
EPSB Offices, 100 Airport Road, 3<sup>rd</sup> Floor  
Frankfort, Kentucky  
September 16, 2013**

### Consent Item A

#### Call to Order

Chair Cassandra Webb called the meeting to order at approximately 9:05 a.m. EDT.

#### Roll Call

The following Board members were present during the September 16, 2013, EPSB meeting: Bradley Bielski, Ellen Blevins, Barbara Boyd, John DeAtley, Terry Holliday, Allen Kennedy, Marie McMillen, Mary John O'Hair, Michael Ross, Laura Schneider, Sandy Sinclair-Curry, Zenaida Smith, Anthony Strong, Cassandra Webb and David Whaley. Brandy Beardsley and Lorraine Williams were absent.

#### Open Speak

There were no requests for Open Speak.

#### Board's Mission Statement

Chair Webb reminded the board of its mission statement by reviewing it with the board and audience.

#### Approval of Consent Items

##### 2013-046

*Motion made by Dr. David Whaley, seconded by Ms. Ellen Blevins, to approve the following items on the consent agenda:*

Approval of July 15, 2013, EPSB Work Session Minutes

Approval of August 5, 2013, EPSB Minutes

Jefferson County Teachers Association Classroom Management Proposal

Dr. Kimberly Banta Ethics Training Proposal

2013-14 Emergency Non-Certified School Personnel Program

**Vote:** *Unanimous*

#### Report of the Executive Director

Introduction of New Staff Members

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Executive Director Robert Brown introduced the agency's newest staff members: Matt Spencer, administrative specialist for the Division of Certification; John Fields, Director of Certification (former principal of the middle college at EKU); and James "Jimmy" Adams, Deputy Executive Director (former assistant superintendent of Jessamine County Schools)

### Report from the Kentucky Department of Education (KDE)

Commissioner Holliday stated that KDE is moving forward with the implementation of the Kentucky Core Academic Standards for science. This work will be implemented through regional networks and greatly involve teachers and professors to ensure K-12 science programs are lined up with the expectations for college and career readiness.

Commissioner Holliday addressed some concerns from education professionals in the state regarding the cycle time for disciplinary cases and the 200 clinical observation hours required in teacher preparation programs. He said it is unclear to some what is permissible as clinical hours. Executive Director Brown stated that these issues will be addressed in the CEEEW Committee Update and PARC Update on the agenda.

### Report from the Council on Postsecondary Education (CPE)

Mr. John DeAtley gave an update on recent events at CPE:

\* CPE funded three clinical experience model sites for teacher preparation: WKU (in partnership with Bowling Green and Franklin-Simpson high schools), University of Louisville (in partnership with Jefferson County Public Schools), and EKU (in partnership with Corbin Independent Schools).

\* CPE is currently developing its 2015-16 biennial budget request.

### Tell Kentucky Survey

Mr. Brown reviewed some of the results of the Tell Kentucky survey for new teachers.

Commissioner Holliday asked about the progress that has been made on merging KTIP into PGES which was a topic at the July EPSB retreat. Mr. Brown stated that KACI met in August to look at this alignment and timelines, and another work session scheduled for September 17, 2013, will continue the work. A timeline on the merging of KTIP into PGES will be brought back to the board at its October meeting. Dr. Holliday stated that failure to merge KTIP into PGES by 2015-16 would require waiver requests for first year teachers.

### CCSSO Grant

Mr. Robert Brown stated that at the May EPSB meeting the board had a conversation about issuing an RFP for a CCSSO grant. At this meeting the board agreed that it wanted to participate. Kentucky was selected as one of seven (7) states to potentially receive grant money. In August a Kentucky team went to Portland for training on the requirements of the grant. Mr. Brown stated that it became very apparent that Kentucky is excellently positioned to move forward with the grant as Kentucky has already met many of the goals. The memorandum of understanding (MOU) for the grant is due October 1, 2013. When the MOU is signed a national

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publication will be issued detailing Kentucky's involvement in the work. Mr. Brown has been assigned as the Kentucky team lead.

### Annual Report

Mr. Brown reviewed the Annual Report with the board which detailed staff's progress over the last year towards meeting the board's goals. He said the board continues to make gains despite budget cuts. On September 24, 2013, the Strategic Planning Committee plan to meet at the EPSB offices at 1:00p.m. Mr. Brown and Ms. Webb invited the board to attend the meeting as the committee discussion would include the goals and strategies and possible changes to them.

### **Report of the Chair**

#### Recognition of Former Board Member

Chair Webb recognized Tom Stull for his contribution as an EPSB board member over the last 11 years. Mr. Stull stated that as a board member every decision he made was with the mindset of what is best for the kids in Kentucky. He wished everyone well.

### **Committee Report**

#### Committee Lists

Chair Webb informed the board that EPSB staff is compiling a list of board appointed committees which will include committee member names and dates of appointment. Zenaida Smith stated that the EPSB Update Memos in the past included committee meeting dates. Executive Director Brown stated that he will begin placing monthly committee meeting dates in his update memo to the board. Discussion ensued regarding committee structures including when board members are informed of replacements needed on committees, regular meeting dates of committees, how items are added to the board agenda, etc. Chair Webb stated that she anticipates that the Strategic Planning Committee will develop a strategic plan that contains an efficiency component on how the board conducts business. Secretary Ashley Abshire was asked to resend the Agenda Development document to board members and Chair Webb encouraged board members to contact her if they would like an item placed on the agenda.

#### Nominating Committee for Chair and Vice-Chair

Committee Chair Bradley Bielski stated that a call to the board on nominations for chair and vice chair was emailed to the board on August 19, 2013, with nominations closing on August 30, 2013. At the close of nominations, Dr. Bielski polled the committee for nominations. There was one nominee for chair, Cassandra Webb, and one nominee for vice chair, Anthony Strong.

Chair Webb turned the meeting over to Vice Chair Anthony Strong. Vice Chair Strong asked the board for other nominations. There were no other nominations.

### **2013-047**

*Motion made by Mr. John DeAtley, seconded by Ms. Marie McMillen, to accept the nomination of Cassandra Webb for board chair.*

**Vote:** 15- Yes

1 – Recuse (Cassandra Webb)

Vice Chair Anthony Strong turned the meeting over to Chair Webb.

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Chair Webb asked if there were other nominations for vice chair. There were no other nominations.

### **2013-048**

*Motion made by Mr. DeAtley, seconded by Ms. Sandy Sinclair-Curry, to accept the nomination of Anthony Strong for board vice-chair.*

**Vote:** 15 – Yes  
1 – Recuse (Anthony Strong)

### Committee to Ensure an Ethical Educator Workforce Updates

Committee Chair Mike Ross gave an update on CEEEW which met on Friday, September 13, 2013. He informed the board of the committee's three recommendations which are as follows:

1. Recommended adoption of the draft character and fitness form provided to the board in their folders. This form includes a requirement that applicants provide a self-financed national and state criminal background check.
2. Recommended the pilot development of an online complaint process.
3. Recommended placing a complaint form online for superintendents.

Additional items that the committee discussed included the following:

- \* Standardization of meeting dates. The committee felt the board may need to revisit structure of board meeting dates next year if the new meeting schedule does not meet the board's needs.
- \* Ethics training. The committee would like KACI to discuss the possibility of embedding ethics training into KTIP and PGES.

Chair Ross stated the committee could not come to a consensus on whether the board's decision on flagging certificates and suspensions on certificates should be a policy or placed into regulation. A request for an attorney general's opinion has been made by an outside agency. Board attorney Angela Evans cautioned the board that because a request was made does not mean an opinion will be issued. Chair Ross stated that until a determination is made on whether an opinion will be issued and what it is, there is little the committee can do.

Discussion ensued on data for disciplinary cases. Legal Services Director, Alicia Sneed, provided a memo to the board that included data on the current disciplinary case loads for the EPSB.

Board member questions for staff follow-up included the following:

- \* Commissioner Holliday asked for the benchmark comparisons of other states on disciplinary cases. For example, what is the % of open cases and length of cycle time to resolve cases in other states?
- \* Dr. Mary John O'Hair asked for the percentage of first year teachers that have open cases.

At the October board meeting Ms. Sneed will bring an information item to the board on the implementation of the CEEEW recommendations.

**2013-049**

*Motion made by Mr. Anthony Strong, seconded by Ms. McMillen, to accept the committee's following recommendations: adopt the draft character and fitness form, begin the pilot process of an online complaint system, and adopt the superintendent complaint form to be placed online.*

**Vote:** *Unanimous*

**Swearing In of Board Member Designee**

Board Secretary Ashley Abshire swore in Dr. Gregory Ross as a designee for Commissioner Holliday.

**Presentation**

Capstone Projects, Murray State University

Dr. Robert Lyons, Department Chair at Murray State University, introduced Erika Odham and Stacey Keown to the board. He stated that these individuals just finished their cohorts at Murray State University. These cohorts were implemented after the redesign of the principal preparation programs. As part of the program requirement, students had to complete a capstone project. The presentations for the board were the capstone projects of Ms. Odham and Ms. Keown.

Ms. Erika Odham gave a Prezi presentation to the board titled, *Teacher Retention: Possible Solutions to a Growing Problem*. Ms. Stacey Keown gave a PowerPoint presentation to the board titled, *Improving Reading Scores through Intervention*.

Mr. John DeAtley stated that it is fulfilling to have teachers at the EPSB meeting discussing their programs and he asked that these types of presentations be a part of the EPSB's regular meetings.

**Information/Discussion Items**

16 KAR 6:010. Examination Prerequisites for Teacher Certification, Notice of Intent

Ms. Donna Brockman informed the board of suggested changes to 16 KAR 6:010. These changes include the following:

- The new *English Language Arts: Content and Analysis (5039)* has been regenerated from (5044) which will be discontinued.
- The new *Mathematics: Content Knowledge (5161)* has been regenerated from (5061/0061) which will be discontinued.
- The new *Middle School English Language Arts (5047)* has been regenerated from (5049/0049) which will be discontinued.
- The new *Middle School Mathematics (5169)* has been regenerated from (0069) which will be discontinued.
- ETS will discontinue the Praxis assessment *Mathematics: Proofs, Models, and Problems, Part I (0063)* August 31, 2014. At this time, the *Mathematics: Content Knowledge (5161)*

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will only be a required assessment for Secondary Mathematics, along with the *Principles of Learning and Teaching Grades 7-12*.

- ETS will provide computer-delivered options for several of our approved assessments. These are included in the draft of 16 KAR 6:010 (5114, 5135, 5361).

This item will be brought back to the board for final action at the October EPSB meeting.

### 16 KAR 5:020. Standards for Admission to Teacher Preparation, Notice of Intent

Dr. Kim Walters-Parker informed the board of suggested changes to 16 KAR 5:020.

Collectively known as the Praxis Core tests, three new Praxis tests are proposed to replace the Praxis Pre-Professional Skills Tests (PPST) in Math, Reading, and Writing. The new Praxis Core tests are Core Academic Skills for Educators: Reading; Core Academic Skills for Educators: Writing; and Core Academic Skills for Educators: Mathematics. These tests will be administered for the first time in the fall of 2013.

EPSB staff plan to inform the board of the recommended cut scores for *Praxis Core Academic Skills for Educators (CASE): Reading (5712)*, *Praxis Core Academic Skills for Educators (CASE): Writing (5722)*, and *Praxis Core Academic Skills for Educators (CASE): Mathematics (5732)* for admission to initial certification programs effective September 1, 2014, as a replacement for the PPST scores currently required by 16 KAR 5:020.

This item will be brought back to the board for final approval at the October meeting.

### Update on Program and Accreditation Review Committee: PARC

Dr. Kim Walters-Parker and Dr. Margaret Moore, Assistant Professor at EKU, gave an update on PARC. The purpose of this update was to inform the board of the work PARC has done regarding revisions to the program review process, PARC's immediate next steps, and contextual factors that affect the pace at which this work can proceed. Since its formation, PARC has developed program review documents and procedures as the foundation for more efficient and more effective initial program reviews and ongoing program reporting in Kentucky. These draft documents were provided to the board under separate cover and discussed during the meeting. Where feasible, field tests were done to guide revisions of these documents, which are still in draft form. The efficient use of the documents will require a web-based application to support collaborative work in real time. Other efficiency strategies include ensuring that work is not duplicated and that only essential information is collected. Currently the program review form is being piloted. The committee will update the board at a future EPSB meeting.

Dr. Walters-Parker acknowledged the comments made earlier in the meeting about clarity needed in what is meant by school setting in relation to the required 200 clinical observation hours pursuant to 16 KAR 5:040. She stated that EPSB staff's interpretation is not that the clinical observation hours must occur during the school day. Some board members suggested placing guidelines for the clinical observation hours on the EPSB website. Dr. Walters-Parker stated explanatory notes and scenarios on the clinical observations hours for 16 KAR 5:040 do appear on the EPSB website. She also explained that staff were trying to keep flexibility within the regulation and using professional judgment for special circumstances. Dr. Mary John O'Hair asked who provides the professional judgment. Dr. Walters-Parker answered that reviewers, staff, and the board when clarification is needed. Dr. O'Hair stated that she would be willing to

participate and offer professional support as well. Dr. Walters-Parker stated that Ms. Lauren Graves will send the board information to create an Edmodo account to join the EPSB group– a valuable tool being utilized for co-teaching information and the assessment for the 16 KAR 5:040 requirement for basic responsibilities of cooperating teachers/university supervisors.

**Action Item**

**Alternative Route to Certification Application**

Julie Evans: Dance, All Grades and Theatre, All Grades

**2013-051**

*Motion made by Mr. DeAtley, seconded by Dr. Bradley Bielski, to approve the alternative route to certification application for Julie Evans.*

**Vote:** *Unanimous*

**Board Comments**

The board had no comments.

**DISCIPLINARY MATTERS:  
MINUTES OF CASE REVIEW  
September 16, 2013**

*Motion made by Ms. Ellen Blevins, seconded by Ms. Sandra Sinclair-Curry, to go into closed session for the purpose of discussing proposed or pending litigation in accordance with KRS 61.810(1) (c) & (j).*

**Vote:** *Unanimous*

*Motion made by Mr. Anthony Strong, seconded by Mr. John DeAtley, to return to open session.*

**Vote:** *Unanimous*

The following board members concurred with the actions as listed below with the noted exceptions:

Brad Bielski, Barbara Boyd, Cassandra Webb, Michael Ross, Anthony Strong, Sandra Sinclair-Curry, Laura Schneider, Ellen Blevins, David Whaley, Marie McMillen, Allen Kennedy, John DeAtley, and Mary John O’Hair.

Attorneys present were Alicia A. Sneed, Ryan Chailland, Whitney Crowe, Cassandra Trueblood, Gary Stephens, and Angela Evans.

**Initial Case Review**

<b><u>Case Number</u></b>	<b><u>Decision</u></b>
1307493	Dismissed
1307491	Hear
1306383	Admonish
1306381	Admonish
1306377	Admonish

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1306430	Admonish
1306444	Hear
1306395	Admonish
1306463	Defer
1307513	Hear
1307481	Hear
1305335	Hear
1307488	Hear
1305307	Hear
1305369	Hear
1306426	Hear
1306457	Hear
1306438	Admonish
1306434	Hear
1306436	Hear
1307517	Hear
1307479	Dismissed
1306387	Hear
1306391	Hear
1307515	Hear
1307561	Hear
1306428	Hear
1307503	Hear
1304281	Defer for training
1306424	Admonish
1306432	Hear
1305365	Hear
1305367	Hear
1305363	Hear
130159	Dismissed

### **Character/Fitness Review**

<b><u>Case Number</u></b>	<b><u>Decision</u></b>
13866	Defer
13868	Approve
13894	Approve
13952	Deny
13957	Approve
13945	Approve
13974	Approve
13976	Approve
13982	Approve
13987	Deny
13993	Deny

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131003	Deny
131023	Approve
131031	Approve
131050	Approve
13948	Approve
13960	Approve
13852	Deny
131056	Approve
131058	Approve
131063	Approve
13811	Deny
13835	Approve
13833	Approve
13863	Approve
13874	Approve
13890	Approve
13871	Approve
13898	Approve
13850	Approve
13920	Approve
13913	Approve
13930	Approve
13899	Approve
13843	Deny
13593	Approve
13953	Approve
13587	Approve with conditions
13982	Approve
131002	Approve
13990	Approve
131029	Approve
131035	Approve ( <i>Ms. McMillen dissented</i> )
131032	Approve
131044	Approve

### **Agreed Orders**

#### **Case Number**

130145 (Rita Dials)

#### **Decision**

Accept Agreed Order admonishing Respondent for violating KRAS 161.020. KRS 161.020(2) states, "No person shall enter upon the duties of a position requiring certification qualifications until his or her certificate has been filed or credentials registered with the local district employer." The Board is responsible for establishing the requirements for educator certification to ensure that every

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certified public school employee is properly trained and capable of performing the duties of the position. When individuals take the duties of a position without appropriate certification, the individual is not only violating the law, but eroding the public's trust in the education profession. Additionally, Respondent's certificate is subject to the following probationary conditions:

1. Respondent shall provide written proof to the Board that she has received professional development/training in the area of educator certification as approved by the Board, no later than September 1, 2013. Any expense incurred for said training shall be paid by Respondent. If Respondent fails to satisfy this condition by September 1, 2013, any and all certificates issued to Respondent will automatically be suspended until such training is completed and the appropriate written proof is provided to the Board.
2. Respondent shall provide written proof to the Board that she has received twelve (12) hours of professional development/training in the area of educator certification as approved by the Board, no later than June 30, 2014. Any expense incurred for said training shall be paid by Respondent. If Respondent fails to satisfy this condition by June 30, 2014, any and all certificates issued to Respondent will automatically be suspended until such training is completed and the appropriate written proof is provided to the Board.
3. With any application for certificate renewal or additional areas of certification, Respondent shall provide proof that she is properly certified for her current employment. Should Respondent violate this condition, Respondent's certificate shall not be renewed, nor shall any additional certification be granted until the proof has been received. If Respondent is employed in a position for which she is not properly certified, Respondent's certificate shall be automatically suspended and a new disciplinary case initiated against Respondent. The certificate shall remain suspended until resolution of the new disciplinary case. Respondent is aware that should she violate KRS 161.120 in the future, the Board shall initiate a new disciplinary action and seek additional sanctions.

**Vote:** *Unanimous*

1304283 (Michelle Lowe)

Accept Agreed Order suspending Respondent's certificate for a period of thirty (30) days beginning March 29, 2013. Respondent shall surrender the original certificate and all copies of her certificate to the EPSB, by delivering or

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mailing them to 100 Airport Road, 3<sup>rd</sup> Floor, Frankfort, Kentucky 40601.

Respondent is also admonished for conduct unbecoming a teacher. Pawning a district owned computer is not only unethical, it also creates a risk of disclosure of confidential student information. The Board will tolerate no further acts of misconduct by Respondent.

Respondent shall provide written proof, on or before June 1, 2014, that she has completed six (6) hours of Board approved ethics training. Should Respondent fail to provide proof of the training by June 1, 2014, her certificate shall be suspended and shall remain so until she has provided proof of the training.

**Vote:** *Unanimous*

1208495 (Barttina Wilkins) Accept Agreed Order admonishing Respondent for using inappropriate language in the classroom. As an educator, Respondent has a duty to maintain the dignity and integrity of the profession and to refrain from subjecting students to embarrassment or disparagement. The Board expects Respondent to uphold the Professional Code of Ethics for Kentucky Certified School Personnel in the future. Prior to accepting certified employment in any school district in the Commonwealth of Kentucky, Respondent shall provide written proof to the Board that she has successfully completed twelve (12) hours of professional development/training, as approved by the Board, in the areas of teacher ethics and classroom management. Any expense for this training shall be paid by Respondent. Should Respondent fail to comply with this provision, her certificate shall be automatically suspended until Respondent completes the required training and provides the appropriate written proof to the Board.

**Vote:** *Unanimous*

1210614 (Kevin Cox) Accept Agreed Order admonishing Respondent for engaging in inappropriate physical interactions with a student and for acting aggressively in the classroom. A teacher in the Commonwealth of Kentucky has a duty to protect the health, safety, and welfare of students and must remain aware of the line between appropriate and inappropriate physical interaction when disciplining a student. The Board reminds Respondent that, as a teacher, he has a duty to maintain the dignity and integrity of the profession and to set a positive example for his students.

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The Board will not tolerate any further incidents of misconduct from Respondent.

Upon acceptance of this agreement by the Board, Respondent's certificate shall be on probation for a period of two (2) years and subject to the following probationary conditions:

1. By August 1, 2014, Respondent shall provide written proof to the Board that he has successfully completed six (6) hours of professional development or training, as approved by the Board, in anger and/or conflict management. Any expense incurred for said training shall be paid by Respondent. If Respondent fails to satisfy this condition, Respondent's certificate, and any future endorsements or new areas of certification, shall be automatically suspended until Respondent completes the required training and provides the appropriate written proof to the Board.
2. By August 1, 2014, Respondent shall provide written proof to the Board that he has successfully completed twelve (12) hours of professional development or training, as approved by the Board, on the Professional Code of Ethics for Kentucky Certified School Personnel. Any expense incurred for said training shall be paid by Respondent. If Respondent fails to satisfy this condition, Respondent's certificate, and any future endorsements or new areas of certification, shall be automatically suspended until Respondent completes the required training and provides the appropriate written proof to the Board.
3. For the entirety of the probationary period, Respondent shall remain under the care of a licensed medical practitioner, as approved by the Board, and shall provide the Board with annual written reports from such practitioner certifying that he is following all recommended treatment, including taking all medications as prescribed. The annual written reports shall be due by August 1<sup>st</sup> of each year of the probationary term. Any expense incurred for the assessment, treatment and/or written reports shall be paid by Respondent. If Respondent fails to comply with the requirements of this paragraph on or before August 1<sup>st</sup> of each year of the probationary term, Respondent's certificate, and any future endorsements or new areas of certification, shall be automatically suspended until Respondent submits the required written report to the Board.
4. For the entirety of the probationary period, Respondent shall receive no disciplinary action involving inappropriate

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contact with a student. “Disciplinary action” is defined as any suspension, termination, or public reprimand issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either the tribunal and/or arbitration process. If Respondent fails to satisfy this condition, Respondent’s certificate, and any future endorsements or new areas of certification, shall be automatically suspended for a period of thirty (30) days.

Respondent is aware that should he violate KRS 161.120, either during or following this two (2) year period of probationary conditions, the Board shall initiate new disciplinary action and seek additional sanctions.

**Vote:** *Unanimous*

0911624 (Marietta Abner)

Accept Agreed Order retroactively suspending Respondent’s certificate for a period of two (2) years beginning on December 7, 2009. Upon acceptance of this agreement by the Board, Respondent shall immediately surrender the original and all copies of her certificate to the EPSB, by delivering or mailing to 100 Airport Road, 3<sup>rd</sup> Floor, Frankfort, Kentucky 40601.

Prior to reinstatement of Respondent’s certificate at the conclusion of the two (2) year suspension, Respondent shall undergo a comprehensive alcohol/substance abuse assessment by a Kentucky licensed and/or certified chemical dependency counselor as approved by the Board and shall present written evidence to the Board that she has complied with the assessment process and has successfully completed any and all treatment recommendations. Any expense for the assessment, treatment and/or written reports shall be paid by Respondent. Should Respondent fail to satisfy this condition, the Board shall not reinstate Respondent’s certificate.

Prior to or within one (1) year of accepting employment in any school district in the Commonwealth of Kentucky, Respondent shall submit written proof to the Board that she has successfully completed twelve (12) hours of training or professional development, as approved by the Board, on the Professional Code of Ethics for Kentucky Certified School Personnel. Any expense incurred for said training shall be paid by Respondent. Should Respondent fail to satisfy this condition, Respondent’s certificate shall be suspended until Respondent is in compliance.

Immediately upon accepting employment in any school district in the Commonwealth of Kentucky, Respondent

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shall be subject to the following probationary conditions for a period of three (3) years:

1. For the entirety of the probationary period, Respondent shall immediately submit to any random drug testing that is requested by the Board and shall have no positive drug tests. Any expense for said testing shall be paid by the Respondent. Failure to comply with this condition will result in an automatic one (1) year suspension of Respondent's certificate. If applicable, at the conclusion of the one (1) year suspension, Respondent's certificate shall remain suspended until such time as this probationary condition is met.

2. For the entirety of the probationary period, Respondent shall receive no disciplinary action involving conduct unbecoming a teacher and/or neglect of duty. "Disciplinary action" is defined as any public reprimand, suspension, or termination issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either a tribunal and/or arbitration process. Failure to comply with this condition will result in an automatic one (1) year suspension of Respondent's certificate.

3. For the entirety of the probationary period, Respondent shall not be convicted of nor enter a guilty or no contest plea to any criminal charge(s) other than minor traffic violations. Failure to comply with this condition will result in an automatic one (1) year suspension of Respondent's certificate.

4. For the entirety of the probationary period, Respondent shall submit current national and state criminal background reports to the Board with any application for renewal of her certification(s) and/or for additional certification(s). Any expense for the national and state criminal background reports shall be paid by Respondent. Failure to comply with this condition will result in the denial of all applications for renewal and/or additional certification(s) submitted by Respondent or on her behalf.

Respondent is aware that should she violate KRS 161.120 either during or following this three (3) year period of probationary conditions, the Board shall initiate new disciplinary action and seek additional sanctions.

**Vote:** *Unanimous*

1203217 (Tracey Glass-Lamb) Accept Agreed Order dismissing Case Number 12-03217 without prejudice.

**Vote:** *Unanimous*

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1212813 (Keath Lambert) Accept Agreed Order suspending Respondent's certificate for a period of one hundred eighty (180) days. Respondent shall immediately surrender the original and all copies of his certificate, by personal delivery or first class mail, to the Education Professional Standards Board, 100 Airport Road, Third Floor, Frankfort, Kentucky 40601.

Re-statement of Respondent's certificate at the end of the one hundred eighty (180) day suspension period is conditioned upon Respondent submitting proof that he has undergone a comprehensive alcohol/substance abuse assessment by a Kentucky licensed or certified chemical dependency counselor, as approved by the Board. Respondent shall also submit written proof to the Board that he has successfully completed any and all treatment recommendations. If Respondent is not able to complete all treatment recommendations by the end of the suspension period, he shall submit written progress reports from his chemical dependency counselor on January 1st and July 1st until such time as the counselor releases him from treatment. Each progress report shall certify that Respondent is continuing to comply with any and all treatment recommendations, and that he remains fit and competent to fulfill his duties as an educator. Any expense for the assessment, treatment, and/or written reports shall be paid by Respondent. If Respondent fails to satisfy this condition, Respondent's certificate shall be automatically suspended until Respondent provides the appropriate written proof to the Board.

Upon reinstatement, Respondent's certificate shall be subject to the following probationary conditions for a period of five (5) years:

1. By March 1, 2014, Respondent shall submit written proof to the Board that he has completed twelve (12) hours of professional ethics training, as approved by the Board. Any expense required for said training shall be paid by Respondent. If Respondent fails to satisfy this condition, Respondent's certificate shall be automatically suspended until Respondent completes the required training and provides the appropriate written proof to the Board.
2. During the probationary period, Respondent shall not be convicted of any crime involving the use and/or possession of any controlled substance or alcohol. Failure to comply with this condition will result in Respondent's certificate being automatically suspended pending Board review and disposition.

## Agenda Book

3. Respondent shall submit a copy of his state and federal criminal background check, by August 1 of each year of the probationary period. Any expense required to satisfy this condition shall be paid by Respondent. If Respondent fails to satisfy this condition, Respondent's certificate shall be automatically suspended until Respondent provides the appropriate written proof to the Board.

Respondent is aware that should he violate KRS 161.120 in the future, the Board shall initiate a new disciplinary action and seek additional sanctions.

**Vote:** *Unanimous*

1212817 (Margaret Reece) Accept Agreed Order reminding Respondent that, as a certified educator, she must strive to uphold the responsibilities of the teaching profession by taking reasonable measures to protect the health, safety, and emotional well-being of students and by creating and maintaining a positive learning environment in the classroom. On or before January 1, 2015, Respondent shall provide written proof to the Board that she has successfully completed twelve (12) hours of professional development/training, as approved by the Board, in the areas of teacher ethics and classroom management. Any expense for this training shall be paid by Respondent. If Respondent fails to satisfy this condition on or before January 1, 2015, her certificate shall be automatically suspended until such training is completed and the appropriate written proof is provided to the Board.

**Vote:** *Unanimous*

1211667 (Pascal Bailey) Accept Agreed Order admonishing Respondent for displaying a lack of professional judgment in her interactions with students. As a certified educator, Respondent shall strive to uphold the responsibilities of the teaching profession by maintaining a positive learning environment in her classroom and by treating all students with respect. Further, the Board reminds Respondent that teachers have a duty to keep in confidence information about students which has been obtained in the course of professional service. In the future, Respondent shall be more cautious in handling situations that may jeopardize her duties under the Professional Code of Ethics for Certified School Personnel. Respondent's certificate, including any and all endorsements, is hereby subject to the following probationary conditions for a period of two (2) years from the date the Board accepts this Agreed Order:

## Agenda Book

1. On or before July 1, 2014, Respondent shall submit written proof to the Board that she has successfully completed twelve (12) hours of professional development or training, as approved by the Board, on the Professional Code of Ethics for Kentucky Certified School Personnel with an emphasis in confidentiality. Any expense required for said training shall be paid by Respondent. If Respondent fails to satisfy this condition, Respondent's certificate, and any future endorsements or new areas of certification, shall be automatically suspended until Respondent completes the required training and provides the appropriate written proof to the Board.

2. For the entirety of the probationary period, Respondent shall receive no disciplinary action from any school district in which she is employed. "Disciplinary action" is defined as any public reprimand, suspension, or termination issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either the tribunal and/or arbitration process. If Respondent fails to satisfy this condition, Respondent's certificate, and any future endorsements or new areas of certification, shall be automatically suspended for a period of thirty (30) days. Respondent is aware that should she violate KRS 161.120, either during or following this two (2) year period of probationary conditions, the Board shall initiate new disciplinary action and seek additional sanctions.

**Vote:** *Unanimous*

130187 (Rodney Estes)

Accept Agreed Order admonishing Respondent for conduct unbecoming a teacher. As a certified educator, Respondent has a duty to maintain the dignity and integrity of the profession and to refrain from engaging in dishonest and fraudulent behavior. Further, Respondent is reminded that he is required to complete yearly professional development and failure to do so constitutes neglect of his teaching duties. The Board will not tolerate any further incidents of misconduct from Respondent.

From the date the Board accepts this Agreed Order, Respondent's certificate, and any future endorsements or new areas of certification, shall be on probation for a period of two (2) years.

1. On or before July 1, 2014, Respondent shall provide written proof to the Board that he has successfully completed twelve (12) hours training or professional development, as approved by the Board, on the Professional Code of Ethics for Kentucky Certified School

## Agenda Book

Personnel. Any expense incurred for said training shall be paid by Respondent. If Respondent fails to comply with the requirements of this paragraph on or before July 1, 2014, Respondent's certificate shall be automatically suspended until Respondent submits the required written proof to the Board.

2. For the entirety of the probationary period, Respondent shall receive no disciplinary action involving conduct unbecoming a teacher or neglect of duty. "Disciplinary action" is defined as any suspension, termination, or public reprimand issued by any school district in the Commonwealth of Kentucky and upheld, if requested, by either a tribunal and/or arbitration process. If Respondent fails to comply with the requirements of this paragraph, Respondent's certificate shall be automatically suspended for a period of one (1) year.

Respondent is aware that should he violate KRS 161.120, either during or following this two (2) year period of probationary conditions, the Board shall initiate new disciplinary action and seek additional sanctions.

**Vote:** *Unanimous*

1212775 (Keith Olson)

Accept Agreed Order suspending Respondent's certificate for a period of forty-five (45) days. Respondent shall immediately surrender the original and all copies of his certificate, by personal delivery or first class mail, to the Education Professional Standards Board, 100 Airport Road, Third Floor, Frankfort, Kentucky 40601.

Upon reinstatement, Respondent's certificate shall be subject to the following probationary conditions for a period of two (2) years:

1. On or before January 1, 2014, Respondent shall submit written proof to the Board that he has completed twelve (12) hours of professional ethics training, as approved by the Board. Any expense required for said training shall be paid by Respondent. If Respondent fails to satisfy this condition, Respondent's certificate shall be automatically suspended until Respondent completes the required training and provides the appropriate written proof to the Board.

2. On or before January 1, 2014, Respondent shall submit written proof to the Board that he has completed training in the area of sexual harassment, as approved by the Board. Any expense required for said training shall be paid by Respondent. If Respondent fails to satisfy this condition, Respondent's certificate shall be automatically suspended until Respondent completes the required training and provides the appropriate written proof to the Board.

## Agenda Book

Respondent is aware that should he violate KRS 161.120, either during or following this two (2) year period of probationary conditions, the Board shall initiate new disciplinary action and seek additional sanctions.

**Vote:** *Unanimous*

1306442 (Charles Brooks Jr.) Accept Agreed Order in which Respondent agrees to provide written proof, on or before July 1, 2014, that he has successfully completed the diversion agreement in Wolfe District Court Case Number 13-M-0071. Should Respondent fail to satisfy this condition, the case shall be reopened and the Board may take further action.

**Vote:** *Unanimous*

1211680 (Peggy Hall)

Accept Agreed Order Respondent has retired from the education profession and has no plans to return to the classroom. Should Respondent decide to return to the classroom, she must complete the following conditions prior to applying for or accepting a certified position:

1. Respondent shall provide written proof to the Board that she has received twelve (12) hours of profession ethics training, as approved by the Board. Any expense for this training shall be paid by Respondent.
2. Respondent shall provide written proof to the Board that she has completed six (6) hours of professional development in the area of special education law compliance and implementation, as approved by the Board. Any expense for this training shall be paid by Respondent. If Respondent fails to complete the mandated training prior to returning to the classroom, her certificate shall be automatically suspended for a period of one (1) year. At the conclusion of the one (1) year suspension, reinstatement of Respondent's certificate shall be conditioned upon Respondent providing written proof to the Board that she has completed the ordered training.

Respondent is aware that should she violate KRS 161.120 in the future, the Board shall initiate a new disciplinary action and seek additional sanctions.

**Vote:** *Unanimous*

0911569 (Gary Sanders)

Accept Agreed Order suspending Respondent's certificate for a period of thirty (30) days from June 10, 2013. Upon acceptance of this agreement by the Board, Respondent shall immediately surrender the original and all copies of his certificate, by personal delivery or first class mail, to the

## Agenda Book

Education Professional Standards Board, 100 Airport Road, Third Floor, Frankfort, Kentucky 40601.

Additionally, Respondent shall submit proof that he completed professional development or training in cultural competency or diversity issues. Respondent shall supply the proof of completion of the training by September 1, 2014. Any expense required for said training shall be paid by Respondent.

If Respondent fails to comply with any of the above conditions, his certificate shall be automatically suspended until he complies with the condition.

Respondent is aware that should he violate KRS 161.120 in the future, the Board shall initiate a new disciplinary action and seek additional sanctions against his certificate.

**Vote:** *Unanimous*

### **Recommended Order**

#### **Case Number**

05-12221 (Reginald Siler)

#### **Decision**

Accept the Hearing Officer's Findings of Fact, Conclusions of Law, and Recommended Order and Permanently Revoke Respondent's certificate.

Vote: Unanimous

*Motion made by Mr. Kennedy, seconded by Mr. DeAtley, to adjourn the meeting.*

**Vote:** *Unanimous*

Meeting adjourned at 3:00 p.m.

Next Meeting: October 28, 2013

9:00 AM

EPSB Board Room

Frankfort, Kentucky

**EDUCATION PROFESSIONAL STANDARDS BOARD  
STAFF NOTE**

**Consent Item B**

**Action Item:**

2011-2012 Title II Report

**Applicable Statutes and Regulation:**

Title II of the 1998 Higher Education Act  
KRS 161.028, 161.030  
16 KAR 5:010

**Applicable Goal:**

Goal 1: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

**Issue:**

Should the Education Professional Standards Board (EPSB) approve the Title II Report for 2011-2012 for submission to the United States Department of Education (USDOE)?

**Background:**

Title II of the Higher Education Act supports efforts to improve the recruitment, preparation, and induction of new teachers, and includes reporting requirements for institutions and states regarding teacher preparation and certification. Section 207 of the Act requires the annual preparation and submission of three reports on teacher preparation and certification: one from institutions to the states, one from the states to the U.S. Secretary of Education, and one from the Secretary to the U.S. Congress and the public.

Institutions have entered their data for the state Title II report that will be submitted by the EPSB. Title II reporting lags one year behind the current year. EPSB staff will submit the 2011-2012 state report to the U.S. Secretary of Education in October. The complete Title II Report will be available on the EPSB's secure website.

The summary of the 2011-2012 Praxis Pass Rates and the Five-Year Comparison as submitted for all Kentucky institutions, public and independent, will be sent under separate cover. Programs with fewer than ten completers cannot be publicly identified.

**Alternative Actions:**

1. Approve the 2011-2012 Title II Report for submission to the USDOE.
2. Do not approve the 2011-2012 Title II Report for submission to the USDOE.

**Staff Recommendation:**

Alternative 1

**Rationale:**

The Title II Report for 2011-2012 was compiled in accordance with federal requirements,

## Agenda Book

and all information contained therein was verified by the institutions and by EPSB staff. The report must be submitted by October 31, 2013.

**Contact Person:**

Ms. Donna Brockman, Director  
Division of Professional Learning and Assessment  
(502) 564-4606  
E-mail: donna.brockman@ky.gov

**Date:**

October 28, 2013

**EDUCATION PROFESSIONAL STANDARDS BOARD  
STAFF NOTE**

**Consent Item C**

**Action Item**

EPSB staff requests approval to authorize the executive director to enter into a contract to conduct normal business operations.

**Applicable Statutes and Regulation**

KRS 161.028 (1) (v) (d)

KRS 161.017 (3)

**Applicable Goal**

Goal 5: The EPSB shall be managed for both effectiveness and efficiency, fully complying with all statues, regulations, and established federal, state, and agency policies.

**Issue**

Should the Education Professional Standards Board (EPSB) authorize the executive director to enter into the specified contract to conduct normal business operations?

**Background**

KRS 161.028 (1) (v) authorizes the EPSB to enter into contracts and KRS 161.017 (3) stipulates that with board approval the executive director may enter into agreements "...to enlist assistance to implement the duties and responsibilities of the board."

EPSB staff requests approval to begin the procurement process and enter into the following proposed contracts. The contract will be issued for a period coinciding with the biennial budget period.

<b>Contract Position</b>	<b>Contract Period</b>	<b>Must be competitively bid?</b>	<b>Type of entity</b>	<b>Estimated Contract Amt.</b>
BOE chair trained consultant to assist AAC	November 2013– June 2014	No	Individual	\$30,000

- Impact on budget: There are adequate funds in the budget to cover this expense.
- Singular vs. continuing service: Continuing Service

**Alternative Actions**

1. Authorize the executive director to enter into the contract.
2. Do not approve the contract.

**Staff Recommendation**

Alternative 1

## Agenda Book

### **Rationale**

This contract is necessary in order for the AAC to continue its assignment to investigate a complaint assigned to it by the EPSB.

### **Contact Person:**

Mr. Jimmy Adams  
Deputy Executive Director  
(502) 564-4606  
E-mail: jimmy.adams@ky.gov

### **Date:**

October 28, 2013

**EDUCATION PROFESSIONAL STANDARDS BOARD  
STAFF NOTE**

**Information/Discussion Item A**

**Information Item:**

To inform the EPSB about contracts which were signed by the executive director since the previous EPSB board meeting.

**Applicable Statutes and Regulation:**

KRS 161.028 (1) (v) (d)  
KRS 161.017 (3)

**Applicable Goal:**

Goal 5: The EPSB shall be managed for both effectiveness and efficiency, fully complying with all statutes, regulations, and established federal, state, and agency policies.

**Background:**

KRS 161.028 (1) (v) authorizes the EPSB to enter into contracts and KRS 161.017 (3) stipulates that with board approval the executive director may enter into agreements "...to enlist assistance to implement the duties and responsibilities of the board." The EPSB approved procedures for seeking approval and authorization for entering contractual agreements at the October 23, 2006, EPSB meeting.

The contracts listed below were awarded to provide enough funding to pay the teacher educator fees and travel. Approval was given at the May 2013 EPSB meeting.

<b>Program</b>	<b>Vendor</b>	<b>Contract Amt.</b>
CTE KTIP	Eastern Kentucky University	\$4,010.00
CTE KTIP	Kentucky State University	\$1,203.00
CTE KTIP	Morehead State University	\$3,609.00
CTE KTIP	Murray State University	\$3,609.00
CTE KTIP	Northern Kentucky University	\$2,606.50
CTE KTIP	University of Kentucky	\$3,208.00
CTE KTIP	University of Louisville	\$2,005.00
CTE KTIP	Western Kentucky University	\$3,609.00
<b>Total</b>		<b>\$23,859.50</b>

The following contract was awarded after approval was given at the March EPSB meeting to issue an RFP for a New Teacher Survey.

<b>Program</b>	<b>Vendor</b>	<b>Contract Amt.</b>
New Teacher Survey	Panorama Education, LLC	\$29,250.00

**Groups/Persons Consulted:**

N/A

**Contact Person:**

Mr. Jimmy Adams  
Deputy Executive Director  
(502) 564-4606  
E-mail: jimmy.adams@ky.gov

**Date:**

October 28, 2013

**EDUCATION PROFESSIONAL STANDARDS BOARD  
STAFF NOTE**

**Information/Discussion Item B**

**Information Item:**

Implementation Plan for Committee to Ensure an Ethical Educator Workforce (CEEEW)  
Recommendations

**Applicable Statues and Regulations:**

KRS 161.028

KRS 161.120

16 KAR 1:020

16 KAR 1:030

**Applicable Goal:**

Goal 3: Every credentialed educator exemplifies behaviors that maintain the dignity and integrity of the profession by adhering to established law and EPSB Code of Ethics.

**Background:**

In January 2013, the Board approved the charter for the Committee to Ensure an Ethical Educator Workforce (CEEEW) to conduct a self-audit of current disciplinary procedures. The ten (10) person committee included board members as representatives of the Kentucky Education Association (KEA), the Kentucky Association of School Administrators (KASA), and the Kentucky Association of School Superintendents (KASS). At the September 2013 meeting, the Board adopted three (3) final recommendations made by CEEEW.

- Initial certification background check (self-financed)
- Adoption of draft character and fitness form that was presented to the Board
- Proceed with the pilot development of an online complaint process and include superintendent complaint form on our website

Below is staff's implementation plan for those recommendations:

**Adoption of a new character and fitness form which includes requirement that those applicants for initial certification must submit background checks.**

This recommendation involves changing all applications for certification, the TC-4 emergency substitute online application form, and adopting procedures for applicants to submit criminal background checks. Certification, Legal, and Information Technology staffs have begun the initial steps to start the regulatory changes that will need to take place. Several regulations will need to be amended during this process and staff hopes to bring the first of those amendments to the Board in January 2014. Staff suggests the implementation date for the new forms in the regulations become effective January 1, 2015. This date will give the certification division time to acclimate to the new forms before the summer rush and give legal staff the opportunity to educate the educator preparation programs (EPP), candidates, and currently certified educators

on the new form requirements. This will also give the IT staff time to make the necessary program changes and test the online application system before implementation.

Staff will also solicit input from the EPPs on the types of criminal background checks they are currently using for student teaching in order to create a seamless application process for in-state candidates. Information will also be developed for candidates prepared out-of-state so that they will be able to easily get the necessary background checks, as well.

### **Online Reporting System for Superintendents**

Staff has placed a temporary form on the EPSB forms library for superintendents to use in reporting misconduct. Jefferson County Public Schools has agreed to pilot the development of an online reporting system. In order to develop this system, the Information Technology Branch will need to hire an additional programmer. Staff is requesting the use of certification funds to finance this position. If an additional programmer is hired, the new system will hopefully be piloted during the next school year and then be available to all superintendents by the 2015-2016 school year.

The hope is that this online reporting system will limit the amount of staff time necessary to enter complaints and streamline the reporting process for superintendents. Superintendents will be able to supplement reports easily and efficiently and have a record that they have met their statutory duty to report.

### **Additional Steps**

Staff is continuing to review procedures to ensure they are efficient. Currently, staff is struggling with an influx of cases due to an increase in cases to be heard and character and fitness appeals. An additional contract attorney may be necessary to assist in reducing the case loads to more manageable levels.

Staff has conquered increased caseloads in the past with the strategic use of contract attorneys. In 2004, staff began concerted effort to clarify for superintendents their mandatory duty to report misconduct after it was discovered that superintendents were given inconsistent advice. This effort resulted in the case loads doubling in a very short period of time. At that time, there was only one full time staff attorney and two part time contractors. The Director of Legal Services did not carry a case load. We added additional contract attorneys and adjusted work hours as needed and were able to get the case load down to pre-2004 numbers. An additional contract attorney was added in May to assist in reducing the caseloads, and in January, if the cases have not been significantly reduced, an additional staff person will be requested.

The programmer requested to assist with the online reporting system and the modifications to the online application system will also develop a web based dashboard available to board members that will allow them to receive current data on case numbers.

Finally, staff stays abreast of current law through review of court cases, continuing legal education, and networking with other jurisdictions through the National Association of State Directors of Teacher Education and Certification (NASDTEC) professional practices forum in order to provide the Board with quality legal services.

### **Groups/Persons Consulted:**

CEEEW Committee

## Agenda Book

**Contact Person:**

Ms. Alicia Sneed, Director  
Division of Legal Services  
(502) 564-4606  
E-mail: [alicia.sneed@ky.gov](mailto:alicia.sneed@ky.gov)

**Date:**

October 28, 2013



**EDUCATION PROFESSIONAL STANDARDS BOARD  
STAFF NOTE**

**Action Item A**

**Action Item:**

16 KAR 6:010. Written Examination Prerequisites for Teacher Certification

**Applicable Statute and Regulation:**

KRS 161.030  
16 KAR 6:010

**Applicable Goal:**

Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

**Issue:**

Should the Education Professional Standards Board approve the amendments to 16 KAR 6:010, Written Examination Prerequisites for Teacher Certification to become effective September 1, 2014?

**Background:**

**Newly Developed Tests and Corresponding Passing Scores**

During 2012 and 2013, Kentucky teachers and higher education faculty have participated in multi-state standard setting studies (SSS) conducted by the Educational Testing Service (ETS) for multiple assessments. The Math and English tests have been regenerated to ensure Common Core Standards were addressed. The bulleted points highlight some changes:

- The new *English Language Arts: Content and Analysis (5039)* has been regenerated from (5044) which will be discontinued.
- The new *Mathematics: Content Knowledge (5161)* has been regenerated from (5061/0061) which will be discontinued. The new assessment will replace the regenerated test (5061) and the discontinued test *Mathematics: Proofs, Models, and Problems, Part 1 (0063)*.
- The new *Middle School English Language Arts (5047)* has been regenerated from (5049/0049) which will be discontinued.
- The new *Middle School Mathematics (5169)* has been regenerated from (0069) which will be discontinued.
- The new *Physical Education: Content and Design (0095/5095)* has been regenerated from (0092) which will be discontinued.

<b>Current Requirements</b>	<b>Proposed Requirements</b>	<b>Recommended Cut Score</b>
<b>English Language, Literature and Composition:</b>	English Language Arts: Content and Analysis (5039)	168

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<b>Content and Analysis (5044)</b>		
<b>Mathematics: Content Knowledge (5061/0061)</b>	Mathematics: Content Knowledge (5161)	160
<b>Mathematics: Proofs, Models, and Problems, Part 1 (0063)</b>		
<b>Middle School English Language Arts (5049/0049)</b>	Middle School English Language Arts (5047)	164
<b>Middle School Mathematics (0069)</b>	Middle School Mathematics (5169)	165
<b>Physical Education: Movement Forms-Analysis and Design (0092)</b>	Physical Education: Content and Design (0095/5095)	169

### Discontinued Assessments

ETS will discontinue the Praxis assessment *Mathematics: Proofs, Models, and Problems, Part I (0063)* August 31, 2014. At this time, the *Mathematics: Content Knowledge (5161)* will only be required assessment for Secondary Mathematics, along with the *Principles of Learning and Teaching Grades 7-12*.

Current Requirements	Status
<b>Mathematics: Proofs, Models, and Problems, Part I (0063)</b>	Discontinued

### Computer-Delivered Assessments

ETS will provide computer-delivered options for several of our approved assessments. These are included in the draft of 16 KAR 6:010 (5114, 5135, 5361).

Assessment	Effective Date
<b>Music: Content and Instruction (5114)</b>	February 2014
<b>Art: Content and Analysis (5135)</b>	July 2014
<b>English to Speakers of Other Languages (5361)</b>	February 2014

### Alternative Actions:

1. Approve the proposed amendments to 16 KAR 6:010.
2. Do not approve the proposed amendments to 16 KAR 6:010.

**Staff Recommendation:**

Alternative Action 1

**Rationale:**

These changes will ensure that teacher candidates complete the required assessments for their respective certification areas.

**Contact Person:**

Ms. Donna Brockman, NBCT  
Division of Professional Learning and Assessment  
(502) 564-4606  
E-mail: donna.brockman@ky.gov

**Date:**

October 28, 2013



1 **EDUCATION PROFESSIONAL STANDARDS BOARD**

2 **(Amendment)**

3 **16 KAR 6:010. Examination prerequisites for teacher certification.**

4 RELATES TO: KRS 161.020, 161.028(1), 161.030(3), (4)

5 STATUTORY AUTHORITY: KRS 161.028(1)(a), 161.030(3), (4)

6 NECESSITY, FUNCTION, AND CONFORMITY: KRS 161.028(1)(a) authorizes the  
7 Education Professional Standards Board to establish standards and requirements for obtaining  
8 and maintaining a teaching certificate. KRS 161.030(3) and (4) requires the Education  
9 Professional Standards Board to select the appropriate assessments required prior to teacher  
10 certification. This administrative regulation establishes the examination prerequisites for teacher  
11 certification.

12 Section 1. A teacher applicant for certification shall successfully complete the appropriate  
13 tests identified in this administrative regulation prior to Kentucky teacher certification.

14 Section 2. The Education Professional Standards Board shall require the test or tests and  
15 passing scores identified in this section for each new teacher applicant and each teacher seeking  
16 an additional certificate. (1) An applicant for Interdisciplinary Early Childhood Education  
17 certification (birth to primary) shall take one (1) of the following tests and achieve the  
18 corresponding passing score or higher:

19 (a) "Interdisciplinary Early Childhood Education (0023)" – 166; or

20 (b) "Interdisciplinary Early Childhood Education (5023)" – 166.

21 (2) An applicant for Elementary certification (grades P-5) shall take "Elementary  
22 Education: Multi-Subjects Test (5031)" with the following passing scores on the corresponding  
23 test sections:

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1 (a) "Reading and Language Arts (5032)" – 165;

2 (b) "Mathematics (5033)" – 164;

3 (c) "Social Studies (5034)" – 155; and

4 (d) "Science (5035)" – 159.

5 (3) An applicant for certification at the middle school level (grades five (5) through nine  
6 (9)) shall take the content test or tests based on the applicant's content area or areas with the  
7 corresponding passing scores as identified in this subsection:

8 (a) Middle School English and Communications:

9 1. Until August 31, 2014: a. "Middle School English Language Arts (0049)" - 158; or

10 b. ~~f2-f~~ "Middle School English Language Arts (5049)" – 158; or

11 2. Beginning September 1, 2014, "Middle School English Language Arts (5047)" – 164;

12 (b) Middle School Mathematics:

13 1. Until August 31, 2014, "Middle School Mathematics (0069)" - 148; or

14 2. Beginning September 1, 2014, "Middle School Mathematics (5169)" – 165;

15 (c) Middle School Science: "Middle School Science (0439)" - 144; or

16 (d) Middle School Social Studies:

17 1. "Middle School Social Studies (0089)" – 149; or

18 2. "Middle School Social Studies (5089)": - 149.

19 (4) An applicant for certification at the secondary level (grades eight (8) through twelve  
20 (12)) shall take the content test or tests corresponding to the applicant's content area or areas with  
21 the passing scores identified in this subsection:

22 (a) Biology:

23 1. "Biology: Content Knowledge (0235)" - 146; or

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1 2. "Biology: Content Knowledge (5235)" – 146;

2 (b) Chemistry:

3 1. "Chemistry: Content Knowledge (0245)" - 147; or

4 2. "Chemistry: Content Knowledge (5245)" – 147;

5 (c) Earth Science:

6 1. "Earth and Space Sciences: Content Knowledge (0571)" - 147; or

7 2. "Earth and Space Sciences: Content Knowledge (5571)" - 147;

8 (d) English:

9 1. Until August 31, 2014, a. "English Language, Literature and Composition: Content and  
10 Analysis (0044)" – 166; or

11 b.[2.] "English Language, Literature and Composition: Content and Analysis (5044)" –  
12 166; or

13 2. Beginning September 1, 2014, English Language Arts: Content and Analysis (5039)" –  
14 168.

15 (e) Mathematics:

16 1. Until August 31, 2014,

17 a. i. "Mathematics: Content Knowledge (0061)" - 125; or

18 ii.[b.] "Mathematics: Content Knowledge (5061)" - 125; and

19 b.[2.] "Mathematics: Proofs, Models and Problems, Part 1 (0063)" - 141; or

20 2. Beginning September 1, 2014, "Mathematics: Content Knowledge (5161) – 160;

21 (f) 1. Physics: "Physics: Content Knowledge (0265)" - 133; or

22 2. "Physics: Content Knowledge (5265)" - 133; or

23 (g) Social Studies:

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1 1. "Social Studies: Content and Interpretation (0086)" – 153; or

2 2. "Social Studies: Content and Interpretation (5086)" – 153.

3 (5) An applicant for certification in all grades shall take the content test or tests  
4 corresponding to the applicant's area or areas of specialization identified in this subsection, and,  
5 if a passing score is established in this subsection, the applicant shall achieve the passing score or  
6 higher:

7 (a) Art:

8 1. "Art: Content and Analysis (0135)" – 161; or

9 2. "Art: Content and Analysis (5135)" – 161;

10 (b) Chinese: "Chinese (Mandarin): World Language (5665)" – 164;

11 (c) French: "French: World Language (5174)" - 162;

12 (d) German: "German: World Language (5183)" - 163;

13 (e) Health: "Health Education (0550)" - 630;

14 (f) Health and Physical Education:

15 1. "Health and Physical Education: Content Knowledge (0856)" - 156; and

16 2. "Physical Education: Movement Forms - Analysis and Design (0092)" - 151;

17 (g) Integrated Music:

18 1. ~~Until August 31, 2013:~~

19 ~~— a. "Music: Content Knowledge (0113)" – 154; and~~

20 ~~— b. "Music: Concepts and Processes (0111)" – 145; or~~

21 ~~— 2. Beginning September 1, 2013, ]"Music: Content and Analysis (0114)" – 162; or~~

22 2. "Music: Content and Instruction (5114)" – 162;~~]~~

23 (h) Instrumental Music:

24 ~~[1. Until August 31, 2013:~~

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- 1 ~~\_\_\_\_\_ a. "Music: Content Knowledge (0113)" - 154; and~~  
2 ~~\_\_\_\_\_ b. "Music: Concepts and Processes (0111)" - 145; or~~  
3 ~~\_\_\_\_\_ 2. Beginning September 1, 2013,] "Music: Content and Analysis (0114)" - 162.~~

4 (i) Vocal Music:

5 ~~[1. Until August 31, 2013:~~

6 ~~\_\_\_\_\_ a. "Music: Content Knowledge (0113)" - 154; and~~

7 ~~\_\_\_\_\_ b. "Music: Concepts and Processes (0111)" - 145; or~~

8 ~~\_\_\_\_\_ 2. Beginning September 1, 2013,] "Music: Content and Analysis (0114)" - 162.~~

9 (j) Latin: "Latin (0601)" - 166;

10 (k) Physical Education:

11 1. "Physical Education: Content and Design (0095)" - 169; or

12 2. "Physical Education: Content and Design (5095)" - 169;

13 (l) School Media Librarian:

14 1. "Library Media Specialist (0311)" - 156; or

15 2. "Library Media Specialist (5311)" - 156;

16 (m) School Psychologist: "School Psychologist (0401)" - 161; or

17 (n) Spanish: "Spanish: World Language (5195)" - 168.

18 (6) Except as provided in subsection (7) of this section, an applicant for certification for  
19 teacher of exceptional children in Communication Disorders, Learning and Behavior Disorders,  
20 Hearing Impaired, Hearing Impaired with Sign Proficiency, Visually Impaired, or Moderate and  
21 Severe Disabilities shall take the content test or tests based on the applicant's area or areas of  
22 specialization with the corresponding passing scores as identified in this subsection:

23 (a) Communication Disorders:

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- 1 1.a. "Special Education: Core Content Knowledge and Applications (0354)" - 151; or  
2 b. "Special Education: Core Content Knowledge and Applications (5354)" - 151; and  
3 2.a. "Speech-Language Pathology (0330)" - 600; or  
4 b. "Speech-Language Pathology (5330)" - 600;  
5 (b) Hearing Impaired:  
6 1.a. "Special Education: Core Knowledge and Applications (0354)" - 151; or  
7 b. "Special Education: Core Knowledge and Applications (5354)" - 151; and  
8 ~~2.[a. Until August 31, 2013, "Education of Deaf and Hard of Hearing Students (0271)" -~~  
9 ~~167; or~~  
10 ~~— b. Beginning September 1, 2013,] "Special Education: Education of Deaf and Hard of~~  
11 ~~hearing Students (0272)" - 160;~~  
12 (c) Hearing Impaired With Sign Proficiency:  
13 1. "Special Education: Core Knowledge and Applications (0354)" - 151;  
14 2.~~[a. Until August 31, 2013, "Education of Deaf and Hard of Hearing Students (0271)" -~~  
15 ~~167; or~~  
16 ~~— b. Beginning September 1, 2013,] "Special Education: Education of Deaf and Hard of~~  
17 ~~hearing Students (0272)" - 160; and~~  
18 3. One (1) of the following tests with a passing score of Intermediate Level:  
19 a. "Sign Communication Proficiency Interview (SCPI)"; or  
20 b. "Educational Sign Skills Evaluation (ESSE)";  
21 (d) Learning and Behavior Disorders:  
22 1. "Special Education: Core Knowledge and Mild to Moderate Applications (0543)" -  
23 158; or

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1 2. "Special Education: Core Knowledge and Mild to Moderate Applications (5543)" -  
2 158;

3 (e) Moderate and Severe Disabilities:

4 1. "Special Education: Core Knowledge and Severe to Profound Applications (0545)" -  
5 158; or

6 2. "Special Education: Core Knowledge and Severe to Profound Applications (5545)" -  
7 158; or

8 (f) Visually Impaired:

9 1.a. "Special Education: Core Knowledge and Applications (0354)" - 151; or

10 b. "Special Education: Core Knowledge and Applications (5354)" - 151; and

11 ~~2[a. Until August 31, 2013, "Teaching Students with Visual Impairments (0281)" - 161;~~

12 ~~or~~

13 ~~— b. Beginning September 1, 2013, "Special Education: Teaching Students with Visual~~  
14 ~~Impairments (0282)" - 163.~~

15 (7) A holder of an exceptional child certificate in Learning and Behavior Disorders or  
16 Moderate and Severe Disabilities who is seeking additional certification for any exceptional  
17 children teaching certificate listed in subsection (6) of this section shall not be required to take:

18 (a) "Education of Exceptional Students: Core Content Knowledge (0353);"

19 (b) "Special Education: Core Knowledge and Applications (0354);" or

20 (c) "Special Education: Core Knowledge and Applications (5354)".

21 (8)(a) Except as provided in paragraph (b) of this subsection, an applicant for Career and  
22 Technical Education certification to teach in grades five (5) - twelve (12) shall take the content  
23 test or tests corresponding to the applicant's area or areas of specialization identified in this

1 paragraph, and, if a passing score is established in this paragraph, the applicant shall achieve the  
2 passing score or higher:

3 1. Agriculture: "Agriculture (0700)" - 520;

4 2. Business and Marketing Education:

5 a. "Business Education (0101)" - 154; or

6 b. "Business Education (5101)" - 154;

7 3. Family and Consumer Science:

8 a. "Family and Consumer Sciences (0121)" - 162; or

9 b. "Family and Consumer Sciences (5121)" - 162; or

10 4. Engineering and Technology Education: "Technology Education (0051)" - 159.

11 (b) An applicant for Industrial Education shall take the content test or tests corresponding  
12 to the applicant's area or areas of specialization with the passing scores identified in 16 KAR  
13 6:020.

14 (9) An applicant for a restricted base certificate in the following area or areas shall take  
15 the content test or tests based on the applicant's area or areas of specialization with the  
16 corresponding passing scores as identified in this subsection:

17 (a) English as a Second Language:

18 1. "English to Speakers of Other Languages (0361)" - 157; or

19 2. "English to Speakers of Other Languages (5361)" - 157.

20 (b) Speech/Media Communications: "Speech Communication (0221)" - 146; or

21 (c) Theater: "Theatre (0641)" - 162.

22 (10) An applicant for an endorsement in the following content area or areas shall take the  
23 content test or tests based on the applicant's area or areas of specialization with the passing  
24 scores identified in this subsection:

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1 (a) American Sign Language: "American Sign Language Proficiency Interview (ASLPI)"  
2 administered by the Galludet University – 3+;

3 (b) English as a Second Language: "English to Speakers of Other Languages (0361)" -  
4 157;

5 (c) Learning and Behavior Disorders, grades 8 - 12:

6 1. "Special Education: Core Knowledge and Mild to Moderate Applications (0543)" -  
7 158; or

8 2. "Special Education: Core Knowledge and Mild to Moderate Applications (5543)" -  
9 158;

10 (d) Literacy Specialist: 1. "Reading Specialist (0301)" – 164; or

11 2. "Reading Specialist (5301)" – 164;

12 (e) Gifted Education, grades primary - 12: "Gifted Education (0357)" - 152; or

13 (f) Reading Primary through Grade 12:

14 1. "Teaching Reading (0204)" – 153; or

15 2. "Teaching Reading (5204)" - 153.

16 Section 3. In addition to the content area test or tests established in Section 2 of this  
17 administrative regulation, each new teacher shall take the pedagogy test and meet the passing  
18 score identified in this section that corresponds to the grade level of certification sought. If a  
19 certified teacher is seeking additional certification in any area, the applicant shall not be required  
20 to take an additional pedagogy test.

21 (1) An applicant for Elementary certification (grades primary – 5) shall take one (1) of  
22 the following tests and achieve the corresponding passing score or higher:

23 (a) "Principles of Learning and Teaching: Grades kindergarten - six (6) (0622)" – 160; or

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1 (b) "Principles of Learning and Teaching: Grades kindergarten - six (6) (5622)" – 160.

2 (2) An applicant for certification at the middle school level (grades five (5) through nine  
3 (9)) shall take one (1) of the following tests and achieve the corresponding passing score or  
4 higher:

5 (a) "Principles of Learning and Teaching: Grades 5 - 9 (0623)" – 160; or

6 (b) "Principles of Learning and Teaching: Grades 5 - 9 (5623)" – 160.

7 (3) An applicant for certification at the secondary level (grades eight (8) through twelve  
8 (12)) shall take one (1) of the following tests and achieve the corresponding passing score or  
9 higher:

10 (a) "Principles of Learning and Teaching: Grades seven (7) - twelve (12) (0624)" - 160;  
11 or

12 (b) "Principles of Learning and Teaching: Grades seven (7) - twelve (12) (5624)" – 160.

13 (4) An applicant for certification in all grades with a content area identified in Section  
14 2(5) of this administrative regulation shall take one (1) of the following tests and achieve the  
15 corresponding passing score or higher:

16 (a) "Principles of Learning and Teaching: Grades kindergarten - six (6) (0622)" – 160;

17 (b) "Principles of Learning and Teaching: Grades kindergarten - six (6) (5622)" – 160;

18 (c) "Principles of Learning and Teaching: Grades 5 - 9 (0623)" – 160;

19 (d) "Principles of Learning and Teaching: Grades 5 - 9 (5623)" – 160;

20 (e) "Principles of Learning and Teaching: Grades seven (7) - twelve (12) (0624)" - 160;

21 or

22 (f) "Principles of Learning and Teaching: Grades seven (7) - twelve (12) (5624)" – 160.

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1 (5) An applicant applying only for certification for teacher of exceptional children shall  
2 not be required to take a separate pedagogy test established in this section. The content area test  
3 or tests established in Section 2 of this administrative regulation shall fulfill the pedagogy test  
4 requirement for a teacher of exceptional children.

5 (6) An applicant for Career and Technical Education certification in grades five (5)  
6 through twelve (12) shall take one (1) of the following tests and receive the identified passing  
7 score:

8 (a) "Principles of Learning and Teaching: Grades kindergarten - six (6) (0622)" – 160;

9 (b) "Principles of Learning and Teaching: Grades kindergarten - six (6) (5622)" – 160;

10 (c) "Principles of Learning and Teaching: Grades 5 - 9 (0623)" – 160;

11 (d) "Principles of Learning and Teaching: Grades 5 - 9 (5623)" – 160;

12 (e) "Principles of Learning and Teaching: Grades seven (7) - twelve (12) (0624)" - 160;

13 or

14 (f) "Principles of Learning and Teaching: Grades seven (7) - twelve (12) (5624)" – 160.

15 Section 4. Assessment Recency. (1) A passing score on a test established at the time of  
16 administration shall be valid for the purpose of applying for certification for five (5) years from  
17 the test administration date.

18 (2) A teacher who fails to complete application for certification to the Education  
19 Professional Standards Board within the applicable recency period of the test and with the  
20 passing score established at the time of administration shall retake the appropriate test or tests  
21 and achieve the appropriate passing score or scores required for certification at the time of  
22 application.

1 (3) The test administration date shall be established by the Educational Testing Service or  
2 other authorized test administrator.

3 Section 5. (1) An applicant for initial certification shall take the assessments on a date  
4 established by:

5 (a) The Educational Testing Service; or

6 (b) The agency established by the Education Professional Standards Board as the  
7 authorized test administrator.

8 (2) An applicant shall authorize test results to be forwarded by the Educational Testing  
9 Service, or other authorized test administrator, to the Kentucky Education Professional Standards  
10 Board and to the appropriate teacher preparation institution where the applicant received the  
11 relevant training.

12 (3)(a) Public announcement of testing dates and locations shall be issued sufficiently in  
13 advance of testing dates to permit advance registration.

14 (b) An applicant shall seek information regarding the dates and location of the tests and  
15 make application for the appropriate examination prior to the deadline established and  
16 sufficiently in advance of anticipated employment to permit test results to be received by the  
17 Education Professional Standards Board and processed in the normal certification cycle.

18 Section 6. An applicant shall pay the appropriate examination fee established by the  
19 Educational Testing Service or other authorized test administrator for each relevant test required  
20 to be taken.

21 Section 7. An applicant who fails to achieve at least the minimum score on any of the  
22 appropriate examinations may retake the test or tests during one (1) of the scheduled test  
23 administrations.

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1           Section 8. The Education Professional Standards Board shall collect data and conduct  
2 analyses of the scores and institutional reports provided by the Educational Testing Service or  
3 other authorized test administrator to determine the impact of these tests.

**DRAFT**

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Date

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Cassandra Webb, Chairperson  
Education Professional Standards Board

**DRAFT**

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**PUBLIC HEARING AND PUBLIC COMMENT PERIOD:** A public hearing on this administrative regulation shall be held on Monday, December 30, 2013 at 9:00 a.m. at the offices of the Education Professional Standards Board, 100 Airport Road, 3<sup>rd</sup> Floor, Conference Room A, Frankfort, Kentucky 40601. Individuals interested in being heard at this hearing shall notify this agency in writing five workdays prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be canceled. This hearing is open to the public. Any person who wishes to be heard will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made. If you do not wish to be heard at the public hearing, you may submit written comments on the proposed administrative regulation. Written comments shall be accepted until January 2, 2014. Send written notification of intent to be heard at the public hearing or written comments on the proposed administrative regulation to the contact person.

Contact person:       Alicia A. Sneed, Director of Legal Services  
Education Professional Standards Board  
100 Airport Road, Third Floor  
Frankfort, KY 40601  
(502) 564-4606  
FAX: (502) 564-7080

**REGULATORY IMPACT ANALYSIS AND TIERING STATEMENT**

Regulation Number: 16 KAR 6:010

Contact Person: Alicia A. Sneed, Director of Legal Services

(1) Provide a brief summary of:

- (a) What this administrative regulation does: This administrative regulation establishes the written examination prerequisites and the corresponding passing scores for teacher certification.
- (b) The necessity of this administrative regulation: This administrative regulation is necessary to provide notice to teacher candidates of the assessment requirements for obtaining and maintaining a teaching certificate.
- (c) How this administrative regulation conforms to the content of the authorizing statutes: KRS 161.020 requires a certificate of legal qualifications for any public school position for which a certificate is issued. KRS 161.028 requires the Education Professional Standards Board to establish standards and requirements for obtaining and maintaining a teaching certificate. KRS 161.030 delegates to the Education Professional Standards Board the responsibility of selecting the assessments and setting the corresponding minimum acceptable scores for the assessments.
- (d) How this administrative regulation currently assists or will assist in the effective administration of the statutes: This administrative regulation lists the required teacher certification assessments and their corresponding minimum acceptable scores.

(2) If this is an amendment to an existing administrative regulation, provide a brief summary of:

- (a) How the amendment will change this existing administrative regulation: This amendment replaces outgoing assessments and adopts corresponding passing scores for the following newly developed tests: *English Language Arts: Content and Analysis (5039)*; *Mathematics: Content Knowledge (5161)*; *Middle School English Language Arts (5047)*; *Middle School Mathematics (5169)*; and, *Physical Education: Content and Design (0095/5095)*. This amendment also adopts the option of computer-delivered tests when available. Finally, this amendment removes outdated language to ensure clarity in the regulation.

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- (b) The necessity of the amendment to this administrative regulation: This amendment is necessary to ensure that the required assessments and corresponding scores are adequately set to produce the most competent educators.
- (c) How the amendment conforms to the content of the authorizing statutes: The authorizing statutes, KRS 161.020, 161.028, and 161.030, govern the certification of professional school personnel and grant the Education Professional Standards Board certification authority and the responsibility for establishing the requirements for obtaining and maintaining a certificate. This amendment establishes the required assessments and corresponding passing scores for Kentucky teacher certification.
- (d) How the amendment will assist in the effective administration of the statutes: This amendment more closely aligns assessment options with teacher preparation program requirements and opportunities within an actual school setting.
- (3) List the type and number of individuals, businesses, organizations, or state and local governments affected by this administrative regulation: 173 Kentucky school districts, 30 educator preparation programs, and educators seeking new and additional teacher certification.
- (4) Provide an analysis of how the entities identified in question (3) will be impacted by either the implementation of this administrative regulation, if new, or by the change, if it is an amendment, including:
- (a) List the actions that each of the regulated entities identified in question (3) will have to take to comply with this administrative regulation or amendment: The school districts will not be required to take any additional action. The educator preparation programs will need to continue to direct students to the Education Professional Standards Board's website for current assessment requirements. Applicants will need to continue to refer to the Education Professional Standards Board's website for current assessment requirements.
- (b) In complying with this administrative regulation or amendment, how much will it cost each of the entities identified in question (3): There should not be any additional cost to the entities impacted by this regulation.
- (c) As a result of compliance, what benefits will accrue to the entities identified in question (3): The educator preparation programs and applicants will be positively

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affected by the clarifications to the regulation. The districts will be positively affected by a supply of teachers who are competent in their content area.

- (5) Provide an estimate of how much it will cost the administrative body to implement this administrative regulation:
- (a) Initially: None
  - (b) On a continuing basis: None
- (6) What is the source of the funding to be used for the implementation and enforcement of this administrative regulation: State General Fund.
- (7) Provide an assessment of whether an increase in fees or funding will be necessary to implement this administrative regulation, if new, or by the change if it is an amendment: No increase in fees or funding will be necessary to implement this administrative regulation.
- (8) State whether or not this administrative regulation established any fees or directly or indirectly increased any fees: This administrative regulation does not establish any fees, or directly or indirectly increase fees.
- (9) TIERING: Is tiering applied? (Explain why or why not) NO, tiering does not apply since all candidates for each certificate will be held to the same standard.

FISCAL NOTE ON STATE OR LOCAL GOVERNMENT

Regulation Number: 16 KAR 6:010  
Contact Person: Alicia A. Sneed  
Phone number: 502-564-4606

(1) Does this administrative regulation relate to any program, service, or requirements of a state or local government (including cities, counties, fire departments, or school districts)?

Yes X No \_\_\_

If yes, complete questions 2-4.

(2) What units, parts, or divisions of state or local government (including cities, counties, fire departments, or school districts) will be impacted by this administrative regulation? The Education Professional Standards Board, public colleges and universities, and the 173 public school districts.

(3) Identify each state or federal statute or federal regulation that requires or authorizes the action taken by the administrative regulation. KRS 161.028(1) and KRS 161.030

(4) Estimate the effect of this administrative regulation on the expenditures and revenues of a state or local government agency (including cities, counties, fire departments, or school districts) for the first full year the administrative regulation is to be in effect. There should be none.

(a) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for the first year? This regulation should not generate any additional revenue.

(b) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for subsequent years? This regulation should not generate any additional revenue.

(c) How much will it cost to administer this program for the first year? There are no costs associated with the administration of this program.

(d) How much will it cost to administer this program for subsequent years? There are no costs associated with the administration of this program.

Note: If specific dollar estimates cannot be determined, provide a brief narrative to explain the fiscal impact of the administrative regulation.

Revenues (+/-):

Expenditures (+/-):

Other Explanation: This is neither a fee generating nor revenue costing regulation. This regulation merely establishes the testing requirements for teacher candidates to obtain certification.

**DRAFT**

**EDUCATION PROFESSIONAL STANDARDS BOARD  
STAFF NOTE**

**Action Item B**

**Action Item:**

16 KAR 5:020. Standards for Admission to Teacher Preparation, Notice of Intent

**Applicable Statutes and Regulation:**

KRS 161.020, 161.028, 161.030, 161.048(7)  
16 KAR 5:020

**Applicable Goal:**

Goal 1: Every approved educator preparation program meets or exceeds all accreditation standards and prepares knowledgeable, capable teachers and administrators who demonstrate effectiveness in helping all students reach educational achievement.

**Issue:**

Should the EPSB adopt the Praxis Core Academic Skills for Educators and the corresponding cut scores?

**Background:**

Collectively known as the Praxis Core tests, the Praxis Core Academic Skills for Educators: Reading; Core Academic Skills for Educators: Writing; and Core Academic Skills for Educators: Mathematics will replace the Praxis Pre-Professional Skills Tests (PPST) in Reading, Writing, and Math. The new Praxis Core tests will be administered for the first time in the fall of 2013.

The Praxis Core tests were designed to reflect the Common Core State Standards, addressing the board's expressed expectation that the skills assessment for educator preparation program admission reflect the standards used in P-12 classrooms in which candidates will teach. The use of a computer delivery format allows the test to include item formats beyond traditional multiple choice and essay questions, such as arranging information on the screen, locating specific pieces of information, or identifying particular sections of a written passage. Fees for the Praxis Core tests are unchanged from the fees charged for the assessments currently in use, the PPST for Math, Reading, and Writing: \$85 per test if taken separately; \$125 for two tests registered for at the same time; \$135 for the Combined Test.

Following are the recommended cut scores for each test effective September 1, 2014, as a replacement for the PPST scores currently required by 16 KAR 5:020.

<b>Current Requirements</b>	<b>Proposed Requirements</b>	<b>Recommended Cut Score</b>	<b>Structure and Content</b>
Praxis I: Reading (5710/0710)	Praxis Core Academic Skills for Educators (CASE): Reading (5712)	156	85 minutes. 56 multiple choice items.

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			Measures Key Ideas and Details; Craft, Structure, and Language Skills; Integration of Knowledge and Ideas. Requires integration and analysis of multiple documents.
Praxis I: Writing (5720/0720)	Praxis Core Academic Skills for Educators (CASE): Writing (5722)	162	100 minutes.  40 multiple choice items (40 minutes); 2 constructed response items (60 minutes).  Measures Text Types, Purposes, and Production; Language and Research Skills for Writing.
Praxis I: Mathematics (5730/0730)	Praxis Core Academic Skills for Educators (CASE): Mathematics (5732)	150	85 minutes.  56 multiple-choice and numeric-entry items.  Measures Number and Quantity; Algebra and Functions; Geometry; Statistics and Probability.

### **Alternative Actions:**

1. Adopt the Praxis Core Academic Skills for Educators and the corresponding cut scores.
2. Do not adopt the Praxis Core Academic Skills for Educators and the corresponding cut scores.

### **Staff Recommendation:**

Alternative Action 1

### **Contact Person:**

Dr. Kim Walters-Parker, Director  
Division of Educator Preparation  
(502) 564-4606  
E-mail: kim.walters-parker@ky.gov

### **Date:**

October 28, 2013

1 **EDUCATION PROFESSIONAL STANDARDS BOARD**

2 **(Amendment)**

3 **16 KAR 5:020. Standards for admission to educator preparation.**

4 RELATES TO: KRS 161.020, 161.028, 161.030, 161.048(7)

5 STATUTORY AUTHORITY: KRS 161.028, 161.030

6 NECESSITY, FUNCTION, AND CONFORMITY: KRS 161.028(1)(b) requires the  
7 Educational Professional Standards Board to promulgate administrative regulations setting  
8 standards for approval of an educator preparation institution that offers a preparation program  
9 corresponding to a particular certificate. KRS 161.030(1) requires the board to promulgate  
10 administrative regulations establishing requirements for issuance of a certificate authorized under  
11 KRS 161.010 to 161.126. This administrative regulation establishes the standards for admission  
12 to an educator preparation program.

13 Section 1. Selection and Admission to Educator Preparation Programs. (1) In addition to  
14 appropriate National Council for Accreditation of Teacher Education standards incorporated by  
15 reference in 16 KAR 5:010, each educator preparation institution shall develop minimum  
16 standards for admission to its initial certification educator preparation programs, including  
17 university-based alternative programs established pursuant to KRS 161.048(7) in accordance  
18 with this section.

19 (2) ~~Beginning September 1, 2012,~~ Admission to an undergraduate initial certification  
20 educator preparation program shall require the following:

21 (a) 1. A cumulative grade point average of 2.75 on a 4.0 scale; or

22 2. A grade point average of 3.00 on a 4.0 scale on the last thirty (30) hours of credit  
23 completed; and

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1 (b) Successful completion of the following pre-professional skills assessments of basic  
2 knowledge administered by the Educational Testing Service with the corresponding minimum  
3 score:

4 1. Until August 31, 2014:

5 a. i. "Pre-Professional Skills Test: Mathematics" (0730) -174; or

6 ii. ~~{b.}~~ "Computerized Pre-Professional Skills Test: Mathematics" (5730) - 174;

7 b. i. ~~{2. a.}~~ "Pre-Professional Skills Test: Reading" (0710) – 176; or

8 ii. ~~{b.}~~ "Computerized Pre-Professional Skills Test: Reading" (5710) – 176; and

9 c. i. ~~{3. a.}~~ "Pre-Professional Skills Test: Writing" (0720) – 174; or

10 ii. ~~{b.}~~ "Computerized Pre-Professional Skills Test: Writing" (5720) – 174.

11 2. Beginning September 1, 2014:

12 a. "Praxis Core Academic Skills for Educators (CASE): Reading" (5712) – 156;

13 b. "Praxis Core Academic Skills for Educators (CASE): Writing" (5722) – 162; and

14 c. "Praxis Core Academic Skills for Educators (CASE): Mathematics" (5732) – 150.

15 (3) ~~{Beginning September 1, 2012,}~~ Admission to a graduate level initial certification  
16 educator preparation program, including an educator preparation program established pursuant to  
17 KRS 161.048(7), shall require the following:

18 (a) 1. A bachelor's degree or advanced degree awarded by a regionally accredited college  
19 or university with a cumulative grade point average of 2.75 on a 4.0 scale; or

20 2. A grade point average of 3.00 on a 4.0 scale on the last thirty (30) hours of credit  
21 completed, including undergraduate and graduate coursework; and

22 (b) 1. Successful completion of the pre-professional skills assessments in subsection  
23 (2)(b) of this section; or

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1           2. Successful completion of the Graduate Record Exam (GRE) administered by the  
2 Education Testing Service with the following corresponding scores on the corresponding  
3 sections:

- 4           a. (i) Verbal Reasoning taken prior to August 1, 2011 - 450; or
- 5           (ii) Verbal Reasoning taken after August 1, 2011 – 150;
- 6           b. (i) Quantitative Reasoning taken prior to August 1, 2011 – 490; or
- 7           (ii) Quantitative Reasoning taken after August 1, 2011 - 143; and
- 8           c. Analytical Writing - 4.0.

9           (4) ~~[Beginning September 1, 2012,]~~ Each accredited educator preparation institution shall  
10 have a formal application procedure for admission to an initial teacher preparation program,  
11 which shall include the following:

- 12           (a) Documentation that the applicant demonstrates the following:
  - 13           1. Critical thinking;
  - 14           2. Communication;
  - 15           3. Creativity; and
  - 16           4. Collaboration;
- 17           (b) Evidence that the applicant has reviewed:

18           1. The Professional Code of Ethics for Kentucky School Certified Personnel established  
19 in 16 KAR 1:020; and

20           2. The character and fitness questionnaire contained in Section III of the TC-1  
21 incorporated by reference in 16 KAR 2:010; and

22           (c) A method to allow the applicant to demonstrate that the applicant understands  
23 professional dispositions expected of professional educators.

1 (5) Undergraduate students shall not enroll in any educator preparation program courses  
2 restricted to admitted candidates.

3 (6) The educator preparation program shall maintain electronic records that document  
4 that all students admitted after September 1, 2012, meet the requirements subsection (2) of this  
5 section.

6 Section 2. Annual Report. (1) Each educator preparation unit shall submit an electronic  
7 report annually to the Education Professional Standards Board that includes the following  
8 program data on each candidate admitted to educator preparation programs:

9 (a) The candidate's Education Professional Standards Board Person Identifier;

10 (b) The candidate's Student School Identification number;

11 (c) The candidate's Social Security number;

12 (d) The candidate's full name;

13 (e) The candidate's birth date;

14 (f) The candidate's reported ethnicity;

15 (g) The candidate's reported gender;

16 (h) The candidate's email address;

17 (i) The candidate's present home mailing address;

18 (j) The candidate's permanent home mailing address;

19 (k) The candidate's phone number;

20 (l) The candidate's admission date;

21 (m) The candidate's total number of credit hours prior to admission to the institution's

22 educator preparation program;

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1 (n) The candidate's total number of credit hours in educator preparation courses  
2 completed prior to admission to the institution's educator preparation program;

3 (o) The candidate's grade point average at admission;

4 (p) The candidate's current program enrollment status;

5 (q) The candidate's program completion date;

6 (r) The candidate's grade point average at program completion;

7 (s) The candidate's academic major at program completion; and

8 (t) The candidate's academic minor or minors at program completion, if applicable.

9 (2) The report shall be submitted in the following manner:

10 (a) The institution shall electronically submit all data identified in subsection (1) to the  
11 Education Professional Standards Board; and

12 (b) By September 15 of each year, each institution shall provide written confirmation by  
13 electronic mail to the Director of the Division of Educator Preparation that all required  
14 information has been entered.

15 (3) The preparation program shall exit any candidate who has not been enrolled in at least  
16 one (1) course required for program completion within the last eighteen (18) months.

17 (4) Failure to submit the annual report in accordance with this section may result in  
18 action against the program's accreditation status pursuant to 16 KAR 5:010, Section 21.

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Date

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Cassandra Webb, Chairperson  
Education Professional Standards Board

**DRAFT**

## Agenda Book

**PUBLIC HEARING AND PUBLIC COMMENT PERIOD:** A public hearing on this administrative regulation shall be held on Monday, December 30, 2013 at 9:00 a.m. at the offices of the Education Professional Standards Board, 100 Airport Road, 3<sup>rd</sup> Floor, Conference Room A, Frankfort, Kentucky 40601. Individuals interested in being heard at this hearing shall notify this agency in writing five workdays prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be canceled. This hearing is open to the public. Any person who wishes to be heard will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made. If you do not wish to be heard at the public hearing, you may submit written comments on the proposed administrative regulation. Written comments shall be accepted until January 2, 2014. Send written notification of intent to be heard at the public hearing or written comments on the proposed administrative regulation to the contact person.

Contact person:       Alicia A. Sneed, Director of Legal Services  
Education Professional Standards Board  
100 Airport Road, Third Floor  
Frankfort, KY 40601  
(502) 564-4606  
FAX: (502) 564-7080

**REGULATORY IMPACT ANALYSIS AND TIERING STATEMENT**

Regulation Number: 16 KAR 5:020

Contact Person: Alicia A. Sneed, Director of Legal Services

2. Provide a brief summary of:

- (1) What this administrative regulation does: This administrative regulation establishes the standards for admission to an educator preparation program.
- (2) The necessity of this administrative regulation: This administrative regulation is necessary to provide notice to educator preparation programs and applicants of the minimum standards applicants must attain prior to admission to educator preparations programs.
- (3) How this administrative regulation conforms to the content of the authorizing statutes: KRS 161.028(1) authorizes the Education Professional Standards Board to establish standards and requirements for obtaining and maintaining a teaching certificate and to set standards for, evaluate, and approve college, university, and school district programs for the preparation of teachers and other professional school personnel.
- (4) How this administrative regulation currently assists or will assist in the effective administration of the statutes: This administrative regulation sets the standards for admission to an educator preparation program.

3. If this is an amendment to an existing administrative regulation, provide a brief summary of:

- (1) How the amendment will change this existing administrative regulation: This amendment replaces outgoing assessments and adopts corresponding passing scores for the following newly developed tests: *Praxis Core Academic Skills for Educators: Reading (5712)*; *Praxis Core Academic Skills for Educators: Writing (5722)*; and, *Praxis Core Academic Skills for Educators: Mathematics (5732)*. This amendment also removes outdated language to ensure clarity in the regulation.
- (2) The necessity of the amendment to this administrative regulation: The amendment is necessary to ensure that all certified teachers in Kentucky are proficient in reading, writing, and mathematics and that they possess the necessary skills to increase student achievement.

## Agenda Book

- (3) How the amendment conforms to the content of the authorizing statutes: KRS 161.028(1) authorizes the Education Professional Standards Board to establish standards and requirements for obtaining and maintaining a teaching certificate and to set standards for, evaluate, and approve college, university, and school district programs for the preparation of teachers and other professional school personnel.
- (4) How the amendment will assist in the effective administration of the statutes: This amendment will ensure that all candidates in educator preparation programs in Kentucky possess the necessary skills to become effective educators.
4. List the type and number of individuals, businesses, organizations, or state and local governments affected by this administrative regulation: 30 educator preparation programs, any institutions seeking future accreditation for an educator preparation program, and any applicant seeking admission to an educator preparation program.
5. Provide an analysis of how the entities identified in question (3) will be impacted by either the implementation of this administrative regulation, if new, or by the change, if it is an amendment, including:
- (a) List the actions that each of the regulated entities identified in question (3) will have to take to comply with this administrative regulation or amendment: The 30 Educator Preparation Institutions, and any institutions seeking future accreditation, will have to adjust their admission standards to ensure that they meet the standards required by this amendment. Further, applicants will have to meet the minimum standards delineated in the amendment prior to admission to an educator preparation program.
  - (b) In complying with this administrative regulation or amendment, how much will it cost each of the entities identified in question (3): The applicant will have to bear the cost of the admission test unless it is provided by the institution.
  - (c) As a result of compliance, what benefits will accrue to the entities identified in question (3): The educator preparation programs will benefit from having candidates proficient in the skills necessary to be an educator. Additionally, potential candidates will benefit from a selection process that will ensure they meet a minimum level of competency for the education profession prior to engaging in coursework.
- (2) Provide an estimate of how much it will cost the administrative body to implement this administrative regulation:

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- (a) Initially: None
- (b) On a continuing basis: None
- (3) What is the source of the funding to be used for the implementation and enforcement of this administrative regulation: State General Fund.
- (4) Provide an assessment of whether an increase in fees or funding will be necessary to implement this administrative regulation, if new, or by the change if it is an amendment: No increase in fees or funding will be necessary to implement this administrative regulation.
- (5) State whether or not this administrative regulation established any fees or directly or indirectly increased any fees: This administrative regulation does not establish any fees, or directly or indirectly increase fees.
- (6) TIERING: Is tiering applied? (Explain why or why not) NO, tiering does not apply since all educator preparation programs and applicants will be treated the same.

FISCAL NOTE ON STATE OR LOCAL GOVERNMENT

Regulation Number: 16 KAR 5:020  
Contact Person: Alicia A. Sneed  
Phone number: 502-564-4606

(1) Does this administrative regulation relate to any program, service, or requirements of a state or local government (including cities, counties, fire departments, or school districts)?

Yes X No \_\_\_

If yes, complete questions 2-4.

(2) What units, parts, or divisions of state or local government (including cities, counties, fire departments, or school districts) will be impacted by this administrative regulation? The Education Professional Standards Board, public colleges and universities, and the 173 public school districts.

(3) Identify each state or federal statute or federal regulation that requires or authorizes the action taken by the administrative regulation. KRS 161.028

(4) Estimate the effect of this administrative regulation on the expenditures and revenues of a state or local government agency (including cities, counties, fire departments, or school districts) for the first full year the administrative regulation is to be in effect. There should be none.

(a) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for the first year? This regulation should not generate any additional revenue.

(b) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for subsequent years? This regulation should not generate any additional revenue.

(c) How much will it cost to administer this program for the first year? There are no costs associated with the administration of this program.

(d) How much will it cost to administer this program for subsequent years? There are no costs associated with the administration of this program.

Note: If specific dollar estimates cannot be determined, provide a brief narrative to explain the fiscal impact of the administrative regulation.

Revenues (+/-):

Expenditures (+/-):

Agenda Book

Other Explanation: This is neither a fee generating nor revenue costing regulation. This regulation merely establishes the standards for admission to an educator preparation program.

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**EDUCATION PROFESSIONAL STANDARDS BOARD**  
**STAFF NOTE**  
**Action Item C**

**Action Item:**

2014 Legislative Agenda

**Applicable Statute:**

KRS 161.028

**Applicable Goals:**

All Goals

**Issue:**

Should the Education Professional Standards Board approve the 2014 Legislative Agenda?

**Background:**

The General Assembly will meet for its regularly scheduled 60-day Session beginning in January 2014. Prior to the commencement of the legislative session, the Board, with the aid of staff, customarily prepares a legislative agenda designed to promote the Board's mission. Staff utilizes the final legislative agenda to prepare proposed bills for statutory amendments and to advance the Board's goals when working with legislators.

During this legislative session, the General Assembly will be required to pass the 2014-2016 budget for the Commonwealth. During the October 28, 2013, board meeting, the Board will review and approve the EPSB's 2014-2016 budget requests which shall be submitted to the Governor's office. This legislative agenda includes language to support that request, as well as an item to support full funding for the Kentucky Teacher Internship Program.

Finally, the legislative agenda includes opposing any change to the EPSB's current statutory authority and supporting any legislation which further supports the EPSB's mission and goals so that the current direction of the EPSB to improve teacher quality may continue.

**Alternative Actions:**

1. Approve the 2014 Legislative Agenda.
2. Modify and approve the 2014 Legislative Agenda.
3. Do not approve the 2014 Legislative Agenda.

**Staff Recommendation:**

Alternative 1

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### **Rationale**

This agenda was created after soliciting input from the staff and accurately reflects the current legislative needs of the agency.

### **Contact Person:**

Ms. Alicia A. Sneed  
Director of Legal Services  
(502) 564-4606  
E-mail: alicia.sneed@ky.gov

### **Date:**

October 28, 2013

## 2014 EPSB Legislative Agenda

- Support the EPSB's budget requests for FY 2014-2016
- Support a FY 2014-2016 budget increase to the Kentucky Teacher Internship Program (KTIP) to secure full funding for the 2014-15 and 2015-16 school years
- Support legislation which furthers the EPSB Mission and Goals
- Oppose any attempt to dilute or modify the current authority of the EPSB

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**EDUCATION PROFESSIONAL STANDARDS BOARD  
STAFF NOTE**

**Action Item D**

**Action Item**

Request approval of the EPSB FY 2014 -2016 biennial budget request, as presented.

**Applicable Statutes and Regulation**

KRS 48.040

**Applicable Goal**

Goal 5: The EPSB shall be managed for both effectiveness and efficiency, fully complying with all statutes, regulations, and established federal, state, and agency policies.

**Issue**

Should the Education Professional Standards Board (EPSB) approve the FY 2014-2016 biennial budget, as presented, to be submitted to the Education Cabinet and the Governor's Office for Policy and Management?

**Background**

The EPSB budget request booklets must be submitted to the Education Cabinet on October 29, 2013, and then forwarded to the Governor's Office for Policy and Management (GOPM) for their review and approval. The budget request has been developed in line with the priorities and guidelines provided by the EPSB, executive director, and the GOPM. The budget request documents are too voluminous to provide an entire set for each board member; however, key information will be presented today to provide a clear understanding of additional funding requests, capital project requests, priorities and other information that will be submitted.

Copies of the complete budget request documents are available for any board member who would want to review them.

**Alternative Actions**

1. Authorize the deputy executive director to submit the 2014-2016 budget request to the Secretary of Education and Workforce Development and the office of the State Budget Director, as presented.
2. Modify parts of the 2014-2016 budget request and approve the submission of the budget request with modifications.

**Staff Recommendation**

Alternative 1

**Rationale**

Instructions were issued by the State Budget Director in the Governor's Office of Policy and Management on August 14, 2013. "All Executive Branch cabinets, departments, agencies should

## Agenda Book

provide the required budget request forms, attachments, and supporting documentation for both the capital budget and the operating budget no later than November 1, 2013.”

### **Contact Person:**

Mr. Jimmy Adams  
Deputy Executive Director  
(502) 564-4606  
E-mail: jimmy.adams@ky.gov

Mr. Gary Freeland  
Analyst Consultant  
(502) 564-4606  
E-mail: garyw.freeland@ky.gov

### **Date:**

October 28, 2013

**EDUCATION PROFESSIONAL STANDARDS BOARD  
STAFF NOTE**

**Action Item E**

**Action Item:**

EPSB staff requests approval authorizing the executive director to enter into a Memorandum of Agreement with the Council of Chief State School Officers.

**Applicable Statutes and Regulation:**

KRS 161.028 (1) (v) (d)

KRS 161.017 (3)

**Applicable Goal:**

Goal 5: The EPSB shall be managed for both effectiveness and efficiency, fully complying with all statutes, regulations, and established federal, state, and agency policies.

**Issue:**

Should the EPSB authorize the executive director to enter into an agreement with the Council of Chief State School Officers (CCSSO)?

**Background:**

At the May 2013 meeting, the EPSB approved the executive director to move forward with completing the grant application process for participation in the CCSSO Transforming Educator Preparation initiative. Kentucky was chosen as one of seven (7) states to participate and asked to send a team to the Network for Transforming Educator Preparation (NTEP) Organizational Meeting from August 27 – 29, 2013, to ensure the state's commitment to the process. The team consisted of Bradley Bielski, Mary Ann Blankenship, Kim Walters-Parker, Felicia Cummings-Smith, John DeAtley, and Robert Brown.

The purpose of the meeting was to

- Ensure the commitment of selected states to the policy outcomes of data transparency based on performance; licensure based on performance; and program approval based on performance;
- Ensure the commitment to stakeholder involvement and effective communication to generate public and political will to support the policy outcomes;
- Determine a mutually agreeable memorandum of agreement for the work that will be done over a two-year period;
- Determine plans for collective and individual state work;
- Identify possible state funding sources and strategies for engaging funders in this work;
- Introduce partners and how they will assist states in communicating and engaging with their stakeholders with the transformation of educator preparation; and
- Use information and insights from meeting to hone CCSSO's plans to assist states in transforming educator preparation.

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CCSSO is funding \$100,000 each year for two years to implement the goals established in Appendix 3 of the Memorandum of Agreement (under separate cover).

### **Alternative Actions:**

1. Authorize the executive director to enter into the Memorandum of Agreement with CCSSO.
2. Do not approve the entering into the agreement.

### **Staff Recommendation:**

Alternative 1

### **Rationale:**

The agreement is consistent with current EPSB initiatives and will provide support for the goals of our agency.

### **Contact Person:**

Mr. Jimmy Adams  
Deputy Executive Director  
(502) 564-4606  
Email: jimmy.adams@ky.gov

### **Date:**

October 28, 2013

**EDUCATION PROFESSIONAL STANDARDS BOARD  
STAFF NOTE**

**Alternate Route to Certification Application**

**Action Item:**

Alternative Route to Certification Application

**Applicable Statutes and Regulation:**

KRS 161.028, 161.030, 161.048  
16 KAR 9:010

**Applicable Goal:**

Goal 2: Every professional position in a Kentucky public school is staffed by a properly credentialed educator.

**Issue:**

Should the Education Professional Standards Board approve the alternative route to certification application?

**Background:**

The following individual is requesting certification on the basis of exceptional work experience:

Brigetta Allen, Biology Grades 8-12

The application will be sent under separate cover.

**Alternative Actions:**

1. Approve the alternative route to certification application
2. Modify and approve the alternative route to certification application
3. Do not approve the alternative route to certification application

**Contact Person:**

Ms. Cindy Godsey  
Division of Certification  
(502) 564-4606  
Email: cindy.godsey@ky.gov

**Date:**

October 28, 2



**16 KAR 9:010. Professional certificate for exceptional work experience, limited to secondary education.**

RELATES TO: KRS 161.028, 161.030, 161.048

STATUTORY AUTHORITY: KRS 161.028, 161.030, 161.048

NECESSITY, FUNCTION, AND CONFORMITY: KRS 161.048 establishes the eligibility requirements for a candidate seeking to participate in an alternative teacher preparation program. This administrative regulation establishes the requirements for issuance and renewal of a professional certificate for secondary education based on exceptional work experience.

Section 1. Definitions. (1) "Exceptional work experience" means a person with recognized superiority as compared with others in rank, status, and attainment or superior knowledge and skill in comparison with the generally accepted standards in the area in which certification is sought.

(2) "Secondary education" means the area in which certification is sought in a subject taught in grades 9 - 12 in a Kentucky school.

Section 2. Verification of exceptional qualifications of an applicant for certification, in a field of endeavor taught or service practiced in a public school of Kentucky, shall include:

(1) Sufficient documentation that demonstrates to the local school district and the Education Professional Standards Board that an applicant is one who has exceptional work experience as defined in Section 1 of this administrative regulation and has talents and abilities commensurate with the new teacher standards, established in 16 KAR 1:010;

(2) Documentation of achievement that may include advanced degrees earned, distinguished employment, evidence of related study or experience, publications, professional achievement, or recognition attained for contributions to an applicant's field of endeavor; and

(3) Recommendations from professional associations, former employers, professional colleagues, or any other individual or group whose evaluations shall support exceptional work in the field.

Section 3. Certification Requirements. An eligible candidate who meets the requirements of KRS 161.048(1) and character and fitness review established in KRS 161.040 shall be issued the provisional certificate, limited to secondary education and valid for one (1) year. Upon successful completion of the Kentucky Teacher Internship Program as established in KRS 161.030(5) to (8), the professional certificate, limited to secondary education, shall be issued and shall be valid for an additional four (4) years.

Section 4. Renewal Requirements. Each five (5) year renewal of the professional certificate identified in Section 3 of this administrative regulation shall meet the renewal requirements established in 16 KAR 4:060. (25 Ky.R. 1283; Am. 1602; eff. 1-19-99; recodified from 704 KAR 20:720, 7-2-2002.)